UNIVERSITY OF CALIFORNIA

Santa Barbara

Part One: The Dramatic Function of Off-Stage Music in Bizet's Carmen

Part Two: A Portfolio of Compositions

A dissertation submitted in partial satisfaction of the requirements for the degree Doctor of Philosophy in Music

by

Katherine Rosemary Saxon

Committee in charge:

Professor Joel Feigin, Chair

Professor Clarence Barlow

Professor Curtis Roads

June 2014

The dissertation of Katherine Rosemary Saxon is approve	ed.
Clarence Barlow	
Curtis Roads	
Joel Feigin, Committee Chair	

June 2014

ACKNOWLEDGEMENTS

This analysis and composition portfolio would not have been possible without the help of a great many people. First I would like to thank my teacher and advisor Joel Feigin for his guidance and patience during my time at the University of California, Santa Barbara. He has pushed me beyond my limits, while allowing me to do the work I desired. I also owe a debt of gratitude to both Clarence Barlow and Curtis Roads. Clarence Barlow has been an enthusiastic advocate for my music and musical activities and I much obliged to Curtis Roads' approval of my work and have learned from his keen sense of form and function.

Next I must thank my friends whom I have met at UCSB. Calisa Hildebrand was my officemate during my first year and she later commissioned *Strange Woods* for the campus flute choir. Her passionate character and love of flutter tonguing served as an inspiration for the composition. Thanks are also due to Margaret Halbig and Shannon McCue, who along with Calisa commissioned *East of the Sun/West of the Moon*. There is no better feeling than watching friends enjoying music you wrote for them.

During my time at UCSB I formed a composers' chorus later renamed New Century Voices. I have been awed by the dedication that these musicians have shown my work and have been humbled by their fervor. It is thanks to them that *Summer Fruits* came to fruition and *Kubla Khan* could not have been completed without their help and persistence.

Ramin Haghjoo was also an officemate of mine. We have had many wide-ranging conversations over the years. He has never failed to believe in my music, and when I falter he sometimes explains my own music back to me. It is through him that I met Charlotte McIvor, his wife and partner, whose miniature lessons in the fields of Performance and Gender Studies gave me the confidence to handle Bizet's *Carmen*.

Further details of the *Carmen* analysis were solidified over numerous breakfasts with Kacey Link and insightful conversations with Emma McCullough and Sasha Metcalf.

Old friends are important too. In 2007 Jeremy Jennings gave me my very first commission, which became the song cycle *Sea Fever*. As I was finishing my analysis, Lauren Jennings, his wife, was instrumental in keeping me calm as I tried to organize the final layout of ideas. Aaron Manela stepped up the eleventh hour to proofread and edit my analysis and offer a fresh perspective.

Finally, thank you to the Friday Happy Hour girls, Elizabeth Thoren, Claire Barbasch and Julia Galstad for years of support, ideas, sharing and laughter, and to Nick Alward for his gentle patience, and last minute editing these last few months. Thank you to my friend-since-middle-school, Nicole Hersh, who tries to come to every concert and comes to see me every other Thursday in an attempt to keep me grounded. Most of all thank you to my parents for tolerating my eccentricities and giving me the time and opportunity to pursue both my music and education to the extent that I have. I hope you both know how much I love you!

VITA OF KATHERINE ROSEMARY SAXON May 2014

EDUCATION

Bachelor of Arts in Music, Williams College, June 2003 (magna cum laude) Master of Arts in Music Composition, University of Oregon, June 2006 Doctor of Philosophy in Music Composition, University of California, Santa Barbara, June 2014 (expected)

PROFESSIONAL EMPLOYMENT

Summer 2001: Archivist, Williams College Archives, Williams College

July 2000: Summer Internship, Mass MOCA and Bang on a Can

2002-03: Teaching Assistant: Electronic Music, Department of Music, Williams College

2007-10: Teaching Assistant: Music Theory, Department of Music, University of California,

Santa Barbara

2010-2011: Instructor of Record: Musicianship, Department of Music, University of

California, Santa Barbara

2011-2012: Teaching Associate: Music Composition Department of Music, University of

California, Santa Barbara

2012-Present: Conductor: Santa Barbara Treble Clef Chorus, Santa Barbara

2013-Present: Music Director: Carpinteria Community Church, Carpinteria

PUBLICATIONS

"The Return of Silence," Unpublished thesis submitted in partial fulfillment of the requirements for the Master of Arts degree in Music, University of Oregon, 2006. 101 pp.

Polarities: exploring the contemporary expanse. Record release featuring compositions "East of the Sun/ West of the Moon," and "Vox Dilecti Mei." Navona Records, 2014.

AWARDS

Winner of Berkshire Symphony Concerto Competition, Williams College, 2000

Phi Beta Kappa, Williams College 2003

Robert C. Barrow Memorial Prize for Music, Williams College, 2003

Special Award for Composition and Performance, University of Oregon, 2006

Winner of UCSB Orchestral Composition Competition 2009

First Place Corwin Award for Music Composition (Vocal), University of California, Santa Barbara, 2010

First Place Corwin Award for Music Composition (Chamber), University of California, Santa Barbara, 2011

First Place San Francisco Choral Artists New Voices 2012 Competition

Fricker Fellowship, tuition support for 2012-13, University of California Santa Barbara

First Place Corwin Award for Music Composition (Chamber), 2013

Outstanding Service Awards: Graduate 2013 – 2014, Music Department, University of California, Santa Barbara

FIELDS OF STUDY

Major Field: Music Composition

ABSTRACT

Part I

The Dramatic Function of Off-Stage Music in Bizet's Carmen

by

Katherine Rosemary Saxon

Part I

Why does everyone love George Bizet's *Carmen*? What does Bizet do, both musically and dramatically, to make this timeless work of art so effective? In Part I of this dissertation, I focus an aspect of these questions, namely, what makes the final scene, Don José and Carmen's last duet, so powerful? How does Bizet create an atmosphere of utter despair? I believe the answer lies in part with Bizet's use of off-stage music.

In this dissertation, I explore the dramatic function of each off-stage musical instance in the opera. I also include analyses of other musical and dramatic passages that are either related to the off-stage passages, or to themes of space and distance. I conclude that Bizet's continuous use of off-stage music heightens the dramatic tension of each act and ultimately propels the drama toward the final scene. Thus, when Bizet uses off-stage music in the final scene, he is able to create eerie, disturbing on-stage silences that frame the tragic ending.

Part II

Part II of my dissertation is a portfolio of compositions. The majority of these works were presented during my Ph.D. recital on June 3, 2012. *A Game* was played by the UCSB symphony during their winter term concert in 2009. UCSB's Ensemble for Contemporary Music programmed *Quilt I* on a concert in late May 2012.

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Part I

I know the voices dying with a dying fall Beneath the music from a father room.

T. S. Eliot The Love Song of J. Alfred Prufrock

The Dramatic Function of Off-Stage Music in Bizet's Carmen

The world of *Carmen* is so extraordinarily vibrant that it reaches beyond the bounds of the stage. With music, Bizet creates two dramatic arenas, one on stage and one behind the scenes, or off stage. Off-stage music is critical to the opera's dramaturgy; it defines and creates physical, emotional and social spaces throughout the opera. While many operas use off-stage music, no other major standard of the repertoire employs it as much as *Carmen*, and Bizet features off-stage music prominently in every act of the opera.

By using off-stage music, Bizet creates the illusion of distance and space between settings and characters. Bizet and his librettists also expand this concept through language, setting, and even orchestration choices. The creation of these distances and spaces ultimately heightens conflict and supports the dramatic themes of each act.

Bizet also employs silence and the contrast between on- and off-stage music to enhance the conflict and dramatic effect. While silence is a common dramatic device in music, Bizet cleverly uses off-stage music to create silence on-stage. In this case, two settings or arenas occur simultaneously, in which there is sound in the off-stage space, yet we actively hear silence on stage. The contrast between the two spaces, and intended silence

on stage, frames the action, augments the dramatic conflict, and highlights the themes of isolation and despair.

In this dissertation, I examine Bizet's use of off-stage music in each act of the opera, in addition to sections related to the off-stage musical material, and themes of distance, space and even, silence. Each act of *Carmen* has its own distinct mood; therefore, I divide my analysis according to each act. The one exception is the third section titled Act III "Fortune est là-bas," in which I present an analysis of the transition between Acts II and III in addition to an analysis of Act III.

It is my hope that my analysis of these relationships between space, music and drama in this well-known opera will inspire musicians and scholars to explore this topic. Specifically, I encourage others to ask more questions about how these various aspects function together in either works of the past or as a topic for composers to consider in works of the future.

Act I

Unseen Places Restricted Spaces

A public square in Seville. To the right, the door of the tobacco factory. In the middle, a fountain. Up-stage, a useable bridge. On the left, the guard house. When the curtain rises, Corporal Morales and the soldiers are standing in front of the guardhouse. People stroll in the square. \(^1\)

During the first act, off-stage music and sound serves three primary functions: it redirects the attention of the audience, it enlarges the map of the opera by creating unseen

¹ Dibbern, Mary. Carmen: A Performance Guide. Hillsdale, N.Y.: Pendragon Press, 2000, pg 12. "Une place à Seville. A droite la porte de la manufacture de tabac. Au milieu une fontaine. Au fond un pont practicable. A gauche le corps de gaurde. Au lever du rideau, le brigadier Moralè et les soldats sont groupés devant le corps de garde."

locations, and it establishes a thematic undercurrent of restriction and control by becoming a stand-in for industrial and military hierarchies.

From the outset of the opera, locations embody groups of people. In the first act, the guardhouse represents the soldiers and the factory represents the cigarette girls. The third group, whom we see strolling in the square, is the local population of Seville. The square and its fountain exemplify this local population,² who function as a foil to the underclass girls and occupying soldiers.³ The locals appear mostly in crowds as "young men," or "citizens" of the chorus. The stage space is set up so that the dramatically important groups, the cigarette girls and the soldiers, are seen opposing each other, but are also positioned on the edges of the local space. This positioning reinforces that both of these groups exist outside the bounds of the local society, albeit, in each case for different reasons. The girls are an underclass. On the other hand, the soldiers are outsiders, a non-local occupying force, so while they are privileged, they are not really fully integrated in to the local community.⁵ They live apart from it and obey a slightly different set of rules and regulations.

The Changing of the Guard

Initially the soldiers appear to be in control of the square. Susan McClary argues that the musical style and the lyrics of the opening chorus "Drole gens" encourage the audience to see the locals of Seville as "odd people" though the eyes of the soldiers. But an off-stage bugle call disrupts the connection between the audience and the soldiers because the soldiers

² The fountain is often removed from the staging so as to provide a more open space for movement.

³ Later, in the second act, they will also work as a foil against the gypsies.

⁵ McClary, Susan. Georges Bizet, Carmen. Cambridge. New York: Cambridge University Press, 1992, pg 67 "Even if they (the soldiers) are ostensibly a Spanish army, they are of a significantly different class and ethnic constituency"

⁶ McClary, 67.

and the audience will each respond differently to the sound of the bugle. For the soldiers, the call requires an active physical response. The audience anticipates the soldiers' response to the bugle and now turns their attention to the soldiers.

The off-stage bugle call is answered by an onstage bugle call.⁷ The answering call is both brighter and louder, drawing attention to the distance between the first call and its response. The distance between the calls reflects the soldiers' physical situation. As they travel between the barracks and the guardhouse they physically cross the distance that is sonically marked by the two bugles. To further emphasize this distance/gap, Bizet gives plenty of time for the "fife and drum" music that is supposedly traveling with the guard, to be heard as if approaching from a distance. He creates this illusion by using graduated dynamics and graduated orchestration, but it is made all the more believable by using the real distance of the off-stage bugle first.

The initial bugle call is also constructed in an unbugle-like way, so that it creates a point of tonal instability. This musical moment leads directly into the "fife and drum" material that follows it and is maintained throughout the scene. All the pitches of the bugle call, given in Example 1 B., can be played within the overtone series (Example 1.A), except for the lowest note, C#. This note is shifted to the piccolos where it becomes a prominent grace note and the leading tone of the "fife and drum" music in the new key of D (See Example 1 C.); however, the resolution of the C# in the octave introduced by the bugles is not completed until the exit of the children's chorus at the end of the scene. Thus this tiny detail introduced by the off-stage bugle call lays out a musical implication that will not be resolved until the end of the scene.

⁷ The on stage bugle call is commonly performed from the orchestra pit, but it has a similar effect.

Example 1.

A. Overtone Series for A



B. The bugle call



C. The C# used as the grace note leading tone of the "fife and drum" music.



The off-stage bugle call also disrupts the sonic boundaries of the stage and expands the space of the opera into unseen locations. In this case, the bugle represents the barracks, the location to which the current guard members return and from which the relief guard comes. It is the soldiers' home base where they live, sleep and eat their meals.

The changing of the guard reinforces the enlarged map of the opera already implied by the off-stage bugle call. It also reminds us that the barracks of Seville are but one in a larger military structure throughout Spain.⁸ The bugle call becomes a sonic representation of an invisible and overarching authority. This larger hierarchical military structure operates efficiently by restricting space in which its members live. The lyrics of the children's march that accompanies the changing of the guard make the strictures of soldierly behavior clear by focusing on the constraints of posture and time.

Example 2: Children's March

Nous marchons la tête haute Comme de petites soldats Marquant sana faire de faute, Une, deux, Marquant le pas. Les épaules en arrière Et la poitrine en dehors, Les bras de cette manière, Tombant tout le long du corps. We march heads held high Like little soldiers, Keeping time, without any errors, One, two, Keeping time with our feet. Our shoulders back And our chests up, Our arms in this way Fall straight down.

Although the main focus of the children's march is the lighthearted music and the spectacle of the children, the lyrics and implied choreography do subtlety convey the undercurrent of restriction and control. As we will see this theme continues to resurface in later scenes.

The Factory Bell

On the opposite side of the square, facing the guard house, is the cigarette factory. The factory bell sounds, calling the girls back from their lunch break. The lives of the girls who work in the factory are regulated much like the soldiers, but their signal is a bell, not a bugle. Like the bugle, the bell represents the authority that governs the factory.

⁹ Dibbern, 21 - 22

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⁸ We learn, for instance in the opera's dialogue version, that Don José is from the region of Navarre, a Northern area of Spain, making him practically French. See: insert source for this here.

The arrival of the girls, like the changing of the guard, is a public spectacle. The bell is also responsible for drawing the audience's attention to the shift in scene. This time it is the "young men" and "citizens" instead of the children, who gather in anticipation and prepare the audience for the action.

The action that occurs between the two rings of the factory bell can be understood as a normal series of events in the local space of the square. These events happen during a lunch hour, in a crowd, and during broad daylight. Although even a modern audience may be titillated, the locals of Seville accept the events that occur between the two bells as perfectly mundane. Even Carmen's disruptive "Habañera", with its marked chromatic excess, 10 and her "floral assault," in which she throws a bunch of cassia flowers from her bodice at Don José before running away, are contained between the ringing of the factory bells.

Example 3: Outline of events in between the two rings of the Factory bell.

Factory Bell - first ring

The soldiers and local men clamor for arrival of the factory girls

Entrance and song of the Cigarette Girls

The soldiers and the local men clamor for "Carmencita"

Entrance of Carmen

"Habañera"

The soldiers and local men ask for Carmen to choose one of them for her lover

"floral assault" - Carmen "chooses" Don José – the fate motif from Prelude resurges

"Habañera" Chorus reprise with Cigarette Girls

Everyone laughs¹²

Factory Bell - second ring

McClary, 75.
McClary's term. 79

The laughter and the ringing of the bell are marked in different orders in different editions. Sometimes laughter occurs first, and the bell rings second. Presumably, performances also take liberties with this detail. I have seen a few performances that have dispensed with the bell entirely.

The recapitulation of the Habañera Chorus, the laughter, and the ringing of the very public factory bell quickly balance the private intensity of Don José and Carmen's first encounter. The chorus' material is not nearly as chromatic as the material from the verse, making it seem less tonally threatening. The girls taunt Don José, and the lyrics draw attention to love's distain for rules and obedience, playing with the undercurrent of restriction and control by rebelling against it. Ironically, as they sing about Love's disobedience, the girls obediently return to the factory.

Example 4. The girls' final chorus of the Habanera

L'amour est enfant de Bohême, Il n'a jamais, jamais connue de loi; Si tu ne m'aimes pas, je t'aime! Si je t'aime, prends garde à toi Love is a gypsy child He has never, never obeyed the law; If you don't love me, I love you; If you love me, watch out for yourself!¹³

The laughter that follows the final *Habañera* Chorus signals that everyone believes Carmen's choice of Don José is just a joke; and the ringing bell further disrupts any lingering dark mood cast by the return of the fate motif from the Prelude during the "floral assault." Furthermore, the bell empties the stage, drawing the audience's attention to the now emptying space of the stage as our eyes follow the girls back to the factory, the soldiers back to the guardhouse, and the locals back to their day. Once the bell has stopped, we are left with a very different picture: a now emptier square with Don José picking the flowers up at his feet.

Thus the mundane strictures of the life in the shape of the restricted schedule of the cigarette factory girls impose upon the pivotal moment that determines the trajectory of the opera - Carmen's choice of Don José. Before this we have had no major signs that anything

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¹³ Dibbern, 35

is amiss in Seville, but the tension between the restricted life of the factory and the carefree love portrayed in the "Habañera" do not seem destined to continue in perfect accord.

The off-stage screaming from inside the factory is the first confirmation of a serious disruption in the orderly life of Seville. The soldiers, charged with maintaining order over the factory girls, amusingly have difficulty getting them to cooperate. Lieutenant Zuniga, a new arrival to the city, tries to sort out who is responsible for the commotion. Eventually it is decided that Carmen is the culprit and she is sent, under Don José's watch, to prison, a fate that she escapes by seducing him.

Carmen's rebellion during her interrogation with Zuniga and her escape from bondage are made all the more powerful by the environment of restriction set up earlier in the act by the restricted spaces of both the soldiers and the factory girls. Both these groups of people are controlled by unseen powers of authority, embodied sonically in the opera by off-stage sounds -- the soldiers are governed by bugle calls, the factory girls by the sound of the bell.

Act II

We Three Men of Seville Are Variations on Masculinity

Lillas Pastia's tavern. As the curtain rises Carmen, Frasquita and Mercedes are eating with the officers. Gypsy women dance accompanied by gypsy men playing guitars and tambourines.¹⁴

In Act II, offstage music and sounds herald the entrances of three men into Lillas

Pastia's tavern: Escamillio, Don José, and Lieutenant Zuniga. These men are foils for each

other since each has some interest in Carmen. The off-stage music defines the social and

emotional world from which each character makes his entrance. In the midst of this veritable catalogue of masculinity, a bugle call is heard from off-stage, recalling the restrictions established in the opening act, this time, with more serious consequences.

Long Live Escamillio!

As the tavern closes and Zuniga prepares to leave along with the rest of the officers, an unaccompanied male chorus is heard off-stage. Zuniga, who is at the door and can see them, informs us that they are part of a torchlight street procession. How might the characters within the opera hear Escamillio's procession? I believe they hear it as shouting and cheering in rhythm, perhaps with some tendencies of pitch; the sort of semi-musical performance one hears at parades and rallies. In other words, cheerleading. The lyrics are not particularly poetic and could be imagined as shouting. The music is also non-lyrical. It is not a song, but rather a call-and-response pattern, focused on rhythm and supported by a somewhat strange, but ultimately tonal progression (See Example 5). 15

Example 5: Escamillio's Entourage

Vivat! Vivat le Toréro! Vivat! Vivat Escamillio! Vivat! Long live! Long live the Bullfighter! Long live! Long live Escamillio! Long live!¹⁶

¹⁴ Dibbern, 78 "Chez lillas Pastia; une taverne. Au lever du rideau Carmen, Frasquita et Mercédès sont à table avec les officiers. Dans de bohémiennes accompagnée par des bohemians reclant de la guitare et jouant du tambour de basque".

¹⁵ Note the iii chord in the second measure.

¹⁶ Dibbern, 88



Escamillio's procession is an example of the "very large proportion of the music [that] is realistic in the sense of being real singing within the stage world. The opera is full of songs, dances, military fanfares, outdoor choruses, and parades," or *diegetic* music, that is, music or sound that is heard by the characters within the story. What Bizet has written for Escamillio's entourage is a highly stylized representation of cheerleading. The part-singing allows the group to sound larger and fuller and the harmonies at the end of the chorus, particularly the V7/IV and the predominant ii, anticipate the excitement of the coming scene.

Against the order of the magistrate and the wishes of the owner, Zuniga invites the procession into the tavern. After a twelve bar orchestral interlude the male chorus is repeated onstage receiving full orchestral support, and the added vocal support of everyone in the tavern.

The management of the musical material in this short scene gives us three valuable pieces of information about Escamillio's status. First, the local community supports him. This is shown by introducing him with group singing in the streets of the city. Second,

¹⁷ Abbate and Parker, A History of Opera. New York, NY: W.W. Norton, 2012, pg 336.

¹⁸ Gorbman, Claudia. Unheard melodies: narrative film music. London: BFI Pub.; Bloomington: Indiana University Press, 1987, pg 22. Although there has been quite a bit of debate surrounding the usefulness of this term, no one seems to have come up with a better term, and it is a useful distinction to be able to make, although lacking in nuance, a point which even after defining the term, Gorbman concedes herself.

Zuniga, a military official, recognizes that Escamillio has enough clout to keep the tavern open even though his own status cannot. Third, all the other social groups in the opera respect Escamillio: soldiers, civilians¹⁹, and gypsies, men and women, since they all join with Escamillio's entourage to repeat the cheerleading.

Escamillio, his entourage and the soldiers all leave, and only the gypsies remain. They do not really belong in Seville: they are perpetual outcasts. Even the location of the tavern, which is their meeting place, is on the edge of the city "près des ramparts de Séville." The tavern closes and the gypsies discuss their business plans. Carmen begs to be excused from the plot, so that she can rendezvous with Don José.

A Lonely Dragoon D'Alcala

Now we hear Don José sing two verses of a folk-like military song, "Dragoon d'Alcala," from offstage, as he makes his way from the barracks to the now-closed tavern. The directions read "from the wings, as if very far away,"²¹ and on the second verse the directions call for "the voice to approach slowly." This illusion of crossing a distance is reminiscent of the earlier distance crossed by the soldiers from the barracks to the square, and also evokes the other distances Don José has crossed, emphasizing his foreign-ness to the space he is now approaching. Don José's foreign-ness among the gypsies is compounded by his isolation from the community of soldiers. Although this isolation has been present from the beginning of the opera.²³ Don José's unaccompanied solo performance of "Dragoon d'Alcala" foreshadows his loss of honor and end of his tenure with the military at the conclusion of the Act II.

²⁰ Bizet, Georges. Carmen. Act I, No. 10 Seguillia and Duet.
²¹ Dibbern, 113. The original French reads "dans la coulisse, de très loin".
²² Dibbern, 114. "la voix se rapproche peu à peu"

Additionally, "Dragoon d'Alcala" is heard, played by the orchestra during the entr'acte. These different presentations of "Dragoon d'Alcala" during the entr'acte and during the third act yield contrasting effects and illustrate Don José's isolation from the military as well as his general sense of loneliness. During the entr'acte the melody is played in the same octave as will be sung by Don José, by two bassoons in unison. They are supported by a military rhythm on the snare and a light, but well-spaced and grounded pizzicato accompaniment in the strings. Although one bassoon would give enough volume, the two in combination can produce, at times, a rather raunchy chorus effect.²⁴ This is especially true of the trill on the E-natural that occurs in the fourteenth and fifteenth bars. The dotted rhythms, grace notes and articulations all take advantage of the particular color of the bassoon in this register, which can in turns be pompous, comedic, jolly or awkward. The chorus effect of the two bassoons could be understood as a representation of the soldiers entertaining themselves through song, perhaps passing the time at camp in the evening. After an interlude, the melody returns an octave higher in the solo clarinet and then jumps up yet another octave to the flute before it fragments and drops through four successive octaves, sounding in the oboe, clarinet, and then finally returning to the bassoons. The effect is as if the tune is being sounded over a greater and greater distance until it can no longer be heard, once again drawing our attention to Bizet's focus on distance.

Taken together, the entr'acte and Don José's performance of Dragoon D'Alcala have an unbalanced symmetry. Both repeat the melody twice, with an interlude in between. Both are in the same key of G minor/G major. The entr'acte starts loud and potentially "raunchy," but then softens and disappears; conversely, Don José's version begins quietly, from a great distance and comes closer. Even though the dynamics mirror each other, Don José, who is

²³ McClary 72 "In both versions, [Don José] distances himself from the other soldiers"

but a single person singing from off-stage, blocked by curtains and sets, will not match the volume of the two bassoons playing from the orchestra pit, nor will his singular voice have the jolly chorus effect of the two bassoon: he will always remain singular and alone.

We can imagine the missing harmonies and drums during Don José's unaccompanied performance because the tune has already been heard with a memorable timbre and straightforward accompaniment during the entr'acte. The memory of these "missing" parts makes it possible to hear the silence that surrounds Don José. This off-stage silence is a crucial part of the music and drama, evoking Don José's isolation from his comrades in the military.²⁵

Carmen draws attention to the Don José's song, and soon Frasquita, Mercédes, Remendado and Dancaïro, all of whom had doubted that Don José would come, are looking out of the shutters, watching him approach the tavern. The women comment on his good looks, and the men want Carmen to persuade Don José to join the gypsy's smuggling band. Their gaze further focuses the audience's attention out into the silent street. The tavern space is not silent; the orchestra punctuates the gypsy's conversation with sparse string chords. As the gypsies hassle Carmen about Don José, it becomes more apparent how out of place Don José will be in the gypsy space of Lillas Pastia's tavern: objectified by the women, and used by the men.

The Tattoo - An Interruption

Even if Don José does not belong in the space of Lillias Pastia's tavern, he is at least welcomed. The door is opened and Carmen, though teasing him and arousing his jealousy,

²⁴ I discussed this passage in a conversation with bassoonist Anne Power on December 29th, 2013. This depends on the will of the conductor.

²⁵ This might be self-imposed isolation if we are to believe McClary's interpretation of his actions in the first act. See footnote 21.

promises to dance for him alone. All seems to be going well, until Don José calls Carmen's attention to the sounding of the tattoo, calling the soldiers to return to the barracks for the evening. Two trumpets are heard off-stage. The music creates the illusion of coming toward the tavern and then passing again into the distance: it starts and remains at a dynamic of ppp for sixteen bars, then crescendos for eight bars to *forte*. The trumpets sustain the f dynamic for only four-and-a-half bars before a diminuendo for the remaining twelve-and-a-half bars of the call to a p. The dynamics are accompanied with the indications "sound of bugles nearing" and then "the bugles recede." Thus, the bugles cross the distance from the barracks to near the tavern and then move back, evoking the unseen barracks and the city beyond the tavern walls. The bugles also retrace the path Don José has just recently taken to arrive at the tavern, and in some very real sense, they seek to lead him home.

Don José and Carmen's reactions to the tattoo illustrate their very different places in Seville's society. ²⁶ As a soldier Don José's life is restricted by bugle calls, and he calls Carmen's attention, and the attention of the audience, to the bugles, fully expecting that she will accord them the same respect that he does. By way of contrast, Carmen's response "long live the music that appears from nowhere!" denies the source of the music, the barracks, and thus denies the authority that the bugles represent. She appropriates the bugle call and keeps dancing, grateful for the accompaniment.²⁸ Carmen's dismissal of the bugles' authority confuses Don José, who stops her a second time to explain to her what the tattoo means. She is undaunted and after the bugles have faded she mimics their call, mocking Don José's desire to return to the barracks. Bizet has the orchestra participate in this joke by mocking the bugle's call with the winds, prefiguring Carmen's gesture with the flute,

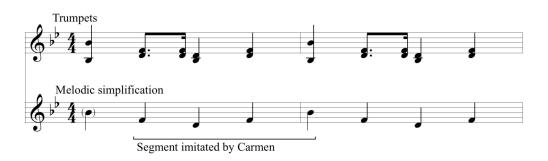
²⁶ Civil Society
²⁷ Dibbern 127. "vive la musique/Qui nous tombe du ciel!" An alternate translation of the second line might be "That falls on us from the sky" Courtesy of Aaron Manela.

28 McClary 96.

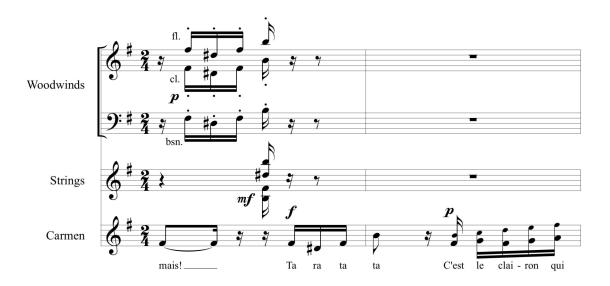
clarinet and bassoon. This particular combination uses the brightness of the flute and the buzz of the bassoon that at the quiet dynamic and staccato has a quality that is perfect for imitating the stuffiness and brass-like timbre of the bugle call, but only by imitation. The strings punctuate the final note of the gesture with a comically harsh *mf* sixteenth note, setting up Camen's *forte* (?) entrance. The dramatic diminution of the once noble bugle call only adds to the nagging mockery. Example 6 A shows the first two bars of the tattoo and a simplified melodic version, and below it, 6 B shows Carmen's imitation of the tattoo.

Example 6.

A: The Tattoo



B: Carmen's Imitation of the Tattoo



It is not likely that Carmen misunderstands Don José, since the Tattoo is sounded every night. She simply has no need to respect it, or the authority it represents, or Don José. Don José misinterprets Carmen's overtures, confusing her behavior with his bourgeois ideas about love, while she is simply flirting and, in a sense, repaying a debt. As McClary points out "she abides by the gypsy law that requires the paying of debts: José went to prison after they made their pact that they make during the Seguidilla in the opening act, and she is prepared to uphold her end of the bargain by dancing and drinking with him." Don José and Carmen's wildly divergent responses to the Tattoo reveal two people too different in their personal opinions and positions in society to be capable of mutual respect, let alone a healthy loving relationship. Again, the trigger that creates this initial conflict between the main characters comes from outside the frame of the stage, and yet it has an enormous effect upon Carmen and Don José. The sounding of the tattoo brings back the atmosphere of restriction and control from the first Act, against which Carmen had rebelled.

Carmen continues to mock Don José's fear of being late. Don José responds by exerting control over Carmen. He forces her to listen to him – often productions have him hit her or choke her,³⁰ then once he has secured her attention he makes his final plea, the beautiful "Flower Song," to which Carmen responds, "No! you do not love me." She then makes her final offer, to join the gypsies – an offer so ridiculous she knows he will turn it down; but she has promised the leaders of the smuggling group she will try to recruit him. She challenges him to take her away to the mountains, to desert the army and leave behind his life of restrictions, of answering to people. But he will not – he has his honor. That is the end of it. They say farewell. He is at the door, the threshold of the space, when someone knocks –

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²⁹ McClary 94.

The only indications in the score here are "violemment" "violently".

Knock, Knock... ...Who's There?

There is nothing the least bit musical about the way that Lieutenant Zuniga makes his entrance. He simply knocks on the door.³² The knock reinforces the closed nature of the tavern and the boundary of the stage. When both Carmen and Don José respond to the knock with silence it traps them inside the space of the tavern and binds them together once more in kind of conspiracy. This silence freezes the lingering sexual tension, bitter words and romantic overtures that were at the point of release, and which were supposed to remain private, with Don José's imminent departure.

Zuniga is unfazed by the silence that follows his knock. Before entering he calls, "Holà! Carmen, holà! Holà!" from beyond the door. When there is no answer he forces the door, presumably locked or barred in some way, and breaks into the intimate and tense atmosphere of the tavern unawares. He believes that his authority gives him the right to enter the establishment, regardless of the silence following his knock and the lack of response to his call. When he enters and sees Don José with Carmen he speaks to Carmen first and insults Don José. It is Zuniga's interest in Carmen that prompts Don José to renew his own interest in her.³³ Don José attacks Zuniga, but Carmen steps in to stop the fight. She calls for help, and gypsies flood the space from the side doors. After assaulting a superior, Don José is forced to defect from the military and throw in his lot with the gypsies in spite his earlier protests. He is distraught, but the gypsies are happy to have one more in their

³¹ Dibbern, 135 "Non! tu ne m'aimes pas"

³² For those attending the dialogue version of Carmen (and who were paying attention) Zuniga indicated he would return after the sounding of the tattoo. This could be used to argue that the bugles herald Zuniga's return, but in the case of either a first time audience members, or those watching the recitative version, in which Zuniga only indicates that he will "return later," this does not strike me as entirely honest.

³³ Furman 175. "If it were not for Zuniga.. José would leave Carmen to return to his barracks"; "For José, desire is always triggered by someone else, determined by another"

band of smugglers. To cheer him up they sing a joyful chorus in praise of freedom and liberty.

Comparison

Using the off-stage music as a frame to compare Escamillio, Don José and Zuniga yields three different masculinities each supported by a different social and emotional situation as well as an overarching trajectory for the whole act.

Example 7:

	Escamillio	Don José	Zuniga
Door position:	Open	Closed, but unlocked	Closed, locked
Sonic Forces:	Chorus	Solo voice	Knock
Material:	Cheering/Cheerleading	Military Folk Song	Unpitched hit
Social rank	Toreador (civilian)	Soldier	Officer

First we are presented with Escamillio. He is touted as the masculine ideal, charismatic and popular. Everyone respects him. Next we are reintroduced to Don José, the tortured romantic loner, a point made palpable by his unaccompanied solo entrance. He is at least somewhat desirable, though, for his good looks. Finally, we have the return of Zuniga, whose only defining characteristic is his status in the military,³⁴ but as we have just seen, in the gypsy space of the Tavern, military clout is not worth much. Bizet does not make Zuniga a villain, but he purposely makes his entrance so bland and banal in contrast with the other men that it argues for Zuniga's undesirability.

In Example 7 it is easy to see that as the act progresses the tavern becomes a more and more exclusive and closed space: first the door is open, then shut, but unlocked, and then finally shut and locked/barred. The space itself can be seen as welcoming or repelling each

³⁴ One might also note that Zuniga is possessed of a singularly bad sense of timing, which while necessary for the forward trajectory of the plot, does not seem to result in winning him any favors with the ladies.

of the men, according to their desirability: Escamillio, being the most generally agreed as desirable is welcomed easily, whereas Zuniga forces himself into the space. The music follows a similar pattern. It begins with a group, Escamillio's entourage, and eventually is reduced to a small, but effective, non-musical sound, Zuniga's knock.

The offstage music allows yet another distinction to be made among these men. Both Don José and Zuniga are alone and announce themselves, but Escamillio is announced by his parade. This popular support makes Escamillio's status a different sort of power than the military power bestowed on Zuniga or Don José; the community chooses to support Escamillio and Escamillio has earned that respect though his deeds in the bullring. Zuniga assumes his military power is worth more than it is and for his misjudgment, the gypsies capture and utterly humiliate him, leaving only Escamillio as a rival for Carmen's affection.

Act III

"Fortune est là-bas"

A deserted area in the mountains³⁵

While in the first two acts off-stage music and sounds functioned to direct the attention of the audience toward important locations or coming actions, in the third act Bizet uses off-stage sound to pull the audiences aural attention away from important action to create dramatic on-stage silence. Furthermore, the theme of distance links the end of the second act to the third.

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³⁵ Dibbern, 145 "Une site sauvage dans la montagne." "Sauvage" can also be translated as "wild".

The third act takes us "là-bas," or "over there," to the mountains that Carmen and the gypsies tout as a place of freedom. Carmen emphasizes this in her final plea to Don José at the end of the second act. After denying the "Flower Song" as proof of his love, she tells Don José that, if he really loved her, he would take her away on his horse into the mountains. Mercédès later uses the same image when she tells her own love fortune in the card scene: "He seats me on his horse and into the mountains he carries me away." Carmen idealizes the mountain location and draws attention to distance by repeating the phrase "làbas." She uses it twenty-two times, normally repeating it twice "Là-bas, là-bas" at the beginning of each phrase, making it the focal word of her lyric argument.

In final chorus of Act II, the gypsies pick up the strain from Carmen's previous argument and equate the countryside, mountains and open sky with freedom and therefore happiness. The first set of phrases concludes with the characteristic "Là-bas, là-bas," and in the next phrase this word transforms into "La liberté!" Their idealization of the "life of the wander"³⁷ and places beyond the city puts the gypsies in opposition to the regimented lifestyles associated with the city.

The allure of the gypsy life is maintained through the entr'acte – a beautiful pastorale featuring a solo flute – but this is quickly contrasted with the harsh reality of the desolate night mountains as the curtain rises.³⁸ The promised "là-bas" is not ideal, and yet, the E_b major of the pastorale and the C minor of the harsh mountains are linked harmonically as relative keys and by a G pivot in the horn, whose timbre links the two sections seamlessly together. It is used extensively in the second half of the pastorale and it has connotations of both the pastoral and distant. The extreme dynamics of the bell tones

³⁶ Dibbern 156. "Il me campe sur son cheval Et dans la montagne il m'entraîne"
³⁷ Dibbern, 144. "la vie errante"

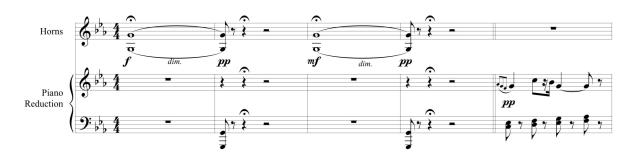
used in the horns to open Act III also give a sense of distance and depth to the sound. The related keys and related timbres allow Bizet to show that the imagined place and real place are two sides of the same coin – fantasy and reality share a grain of truth.

Example 8.

End of the Pastorale Entr'acte



Opening of Act III



G pedal/pivot

C: i

It becomes clear that the mountains are hardly the ideal place that the gypsies evoked in Act II. The gypsies are a nomadic people, welcome nowhere, and the mountainous space is still under the control of the regiment. Carmen and the other women are needed to help the

³⁸ McClary 100

group get past the three soldiers who are guarding the mountain pass. The gypsies' desire for freedom is ultimately frustrated and unrealistic. They are unable to escape the controls of civilization. So even though the opera's setting has moved to the promised land of the mountains, the gypsies continue to sing "fortune là-bas, là-bas." They will always be on the move, pursuing an ever-distant goal.

Toreador Engarde!

Escamillio leaves the city and goes into the mountains searching for Carmen. He comes upon Don José standing guard over the gypsy merchandise, watching for signs of pursuit. Don José shoots at Escamillio but misses. The two talk, and Don José, learning of Escamillio's intentions with Carmen, engages him in a knife fight. When Escamillio's knife breaks, Carmen returns in time to prevent Don José from killing Escamillio. The rest of the gypsies return and Escamillio is asked to leave, but before departing he invites everyone to come see the bullfights back in Seville. Escamillio's "Toreador Song" in Act II has already established the Seville arena as a location within the space of the opera. His invitation entices both the gypsies and the audience with a more desirable place, now that the mountains have proved disappointing, while also foreshadowing the setting of the fourth act.

Micaëla is then discovered hiding among the rocks. She convinces Don José to leave Carmen and return to his dying mother. But as Don José and Micaëla are about to leave, Escamillio is heard offstage singing his "Toreador Song." Escamillio's singing reinforces his invitation to the arena. The distance inherent in his off-stage performance emphasizes the distance between the mountains and the location of the arena where the actions described in his song will take place.

Although the characters on stage hear Escamillio singing alone, Bizet supports him with pizzicatos in the strings. From off-stage and completely outside of what should be his zone of influence, beyond the city walls, defeated and rescued by a woman, Escamillio still has the support of the orchestra. First, this is quite different from all the other occurrences of offstage music in the opera. All previous instances have been carried out in some sense realistically, using only the off-stage musical forces. Only this particular off-stage performance violates this principle. Bizet's use of the orchestra to support Escamillio particularly distinguishes Escamillio's recapitulation of the "Toreador Song" from Don José's performance of "Dragoon D'Alcala." I propose that the Bizet uses the orchestra as an indicator of both status and belonging and that this difference reveals the difference in both self-esteem and status of the two characters. The orchestra buoys Escamillio's singing, adding to his power while remaining barely noticeable except for the fullness that the light harmonic touch gives to his song. Even in the wilderness, Escamillio maintains some of his status. The orchestral support could also be read as an indication of his confidence. Status and confidence are both characteristics that Don José lacks. Not surprising then is the absence of orchestra accompaniment for his "Dragoon D'Alcala."

Bizet's decision to provide or withhold accompaniment in these two off-stage situations has implications for how the surrounding dramatic silences are heard. In the case of "Dragoon D'Alcala," the silence was heard off-stage surrounding Don José as he crossed the city toward the tavern, but Escamillio's performance creates a silence, which most keenly felt on the space of the stage.

This on-stage silence is reinforced by the actions of the characters. Upon hearing Escamillio's voice, stage directions indicate that Don José stops his exit with Micaëla:

"Don José leads Micaëla away; hearing Escamillio's voice he stops, hesitating". 39 In many productions the whole scene freezes, the lack of motion mirroring the silence. The tension is bigger than the budding love triangle between Carmen and Don José and Escamillio. Much like the tavern space in Act II, the space holds the tension, waiting to release it until the characters exit the stage. The gypsies want Don José gone. They attempted to evict him from the group, but were only able to because of Micaëla's information about his dying mother. He had been nothing but trouble: reckless, out of control, and idiotic. So when he hesitates, they fear he will not leave and they will continue to be stuck with him. From Don José's perspective, not only does Carmen now desire Escamillio, but the gypsies, his former companions, now clearly disrespect him, making him an outcast among outcasts. The orchestra is also unsympathetic to Don José's suffering. It extends Escamillio's off-stage "Toreador Song" with an eleven-bar coda and closes with a triplet fanfare. The audience, Carmen, and the gypsies all look for a better fortune "la-bas" in the Seville arena, as Micaëla and Don José miserably scurry home to his dying mother. As the final fanfare plays, the audience is left looking once again at the desolate mountain landscape, now devoid of characters. The contrast between the festive mood of the music and the dark and empty stage creates a sense of unease.

Escamillio's off-stage performance of the "Toreador Song" helps enhance the sense of unease: It contributes to the tension on stage dramatically, but also enhances this tension with silence: it moves the action away from being seen on stage to being unseen off-stage. This creates a double vision in the minds of the audience. Hearing Escamillio, we imagine

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³⁹ Dibbern 191. "Don José entraîne Micaëla; en entendant la voix d'Escamillio, il s'arrête hesitant."

him singing rather carefree in spite of his earlier humiliation, but on-stage the stage directions read: "Carmen wants to run away; Don José threateningly blocks her way". 40

Carmen is now firmly looking "la-bas," to fulfill her romantic desires. This elsewhere is made astoundingly clear by placing Escamillio and his "Toreador Song" off-stage. But pursuing Escamillio will mean returning to the city and its life of restriction, a life against which Carmen has already rebelled in Act I. Whatever Carmen's true desire, is it not questionable that it could be fulfilled in the city, a place of restriction? But now that the alternative location has proven unpleasant and plagued by similar problems, there seems little choice. One might as well choose the more pleasant prison.

Act IV

Frustrated Desires Viva! Voyez! Victory!

A square in Seville. Upstage the walls of the old arena. The entrance to the arena is covered with a long curtain.⁴¹

In the fourth act Bizet uses illusions of distance to guide the attention of the audience during the opening procession and shift their attention to the unseen space of the arena. Bizet again exploits the ability of the off-stage music to create on-stage silence to underscore the drama of the Carmen and José's final duet

Heeding Escamillio's invitation, we return to Seville. The darkness of the mountain pass is banished. An eager crowd waits outside the arena for the bullfights to begin. Only the outer walls of the arena are visible at the back of the stage. Like the cigarette factory in the

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⁴⁰ Dibbern 191. "Carmen veut s'élancer; Don José, menaçant, lui barre le passage". Alternate directions read "Carmen rushes toward him (Escamillio's voice)" Dover score, pg 474

first act, the space of the arena exists beyond the stage, extending the opera's compass beyond the borders of the theater. The arena embodies all who gather to see the bullfights: the poor and wealthy, women and men, soldiers, citizens, and even gypsies. But two characters will be excluded from this seemingly inclusive space: Carmen and Don José.

The Seville bullfights are a festive civic event. In the opera, the toreros process though the city streets to the square outside the arena. The crowd calls out to the members of the procession as they arrive, helpfully explaining the procession for the benefit of the audience. Although none of the processional music takes place offstage, Bizet uses it to create the illusion of the parade arriving from a distance and then departing into the arena. Bizet titillates the audience, showing them the procession, but withholding spectacle of the bullfight itself.

Don José and Carmen's final duet is punctuated by four interruptions of the off-stage chorus and brass ensemble, which relates the action of the bullfight happening in the arena simultaneously with the on-stage action. The alternation between and juxtaposition of the personal Don José-Carmen tragedy on-stage and the public spectacle of the bullfight off-stage imbues the unfolding personal conflict between the two isolated characters with dramatic irony and an atmosphere of loneliness and despair. The off-stage ensemble reminds the audience of the largeness and vivaciousness of the world beyond the space of the stage, while creating palatable silences on stage, which makes the figures of Don José and Carmen, appear small, alone, and so terribly human.

Dibbern, 192 "Une place à Seville. Au fond du théâtre les murailles des vielles arenas. L'entrée du

The Procession and Parade Band

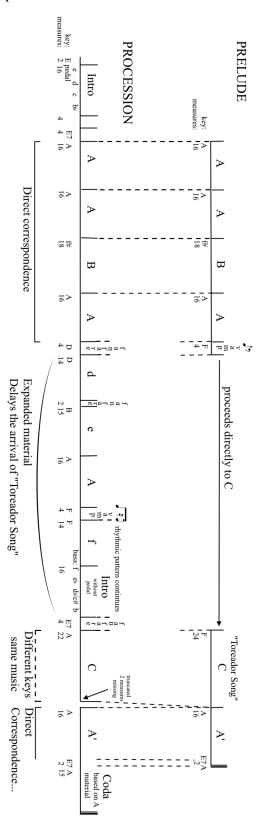
Bizet uses the procession to reestablish the grandness of the city of Seville and the atmosphere of fun present in the many on-stage number in the first act. The length of the procession corresponds the distance that must be traveled by the procession to reach the arena. It also implies that a large number of people are involved. The procession, with all its people, full of color and excitement, serves as both a precursor and a contrast to the empty stage and tense and fatal duet that follows.

The procession to the arena is an elaborate recapitulation of the prelude (see Example 9)

– until the fate motif. The recap finally concludes with the return of the fate motif during

Carmen and Don José's final duet, during which the off-stage interruptions act as echoes of
the prelude/ procession material. The chart below details the formal aspects of the
procession.

Example 9.



The structure of the procession is designed to exaggerate the exhilaration and excitement associated with the arrival of Escamillio and his "Toreador Song." Other important differences are an introduction and a coda. The introduction gives Bizet time to shape the entrance of the parade and give it the illusion of coming from a distance. The coda acts as a counterweight, balancing the much larger form of the procession and finishing it off with extra flourishes and flares appropriate to the festive setting.

The extent to which the procession is experienced as diegetic music bears further examination. Bizet would have been familiar with military bands used in civic parades in Paris, and likely modeled the procession after Parisian parades. He sets up the winds as the primary color for the procession by opening with a wind color, the bassoon, and then adding more winds over the course of the introduction. Horns provide subtle fanfare figuration, adding a brassy coloring. This gives the sound a "Parade Band" timbre. Even when the full orchestra enters, the wind and brass remain prominent, maintaining a "Parade Band" timbre. Wind trills conclude each phrase and Bizet has trumpets join the melodic lines at the cadence of each A section. In fact, Abbate and Parker list the procession as part of their list of "real" music featured in the opera, designating it as a "parade". Interestingly, there are no performers present on stage to give the impression that the band exists. The illusion of the band is created by Bizet's orchestration and by a few diegetic markers. Built into the extended middle section of the procession are brass fanfares that herald the different groups of toreros. Like the bugle calls, it is clear that these fanfares are diegetic, occurring within the story.

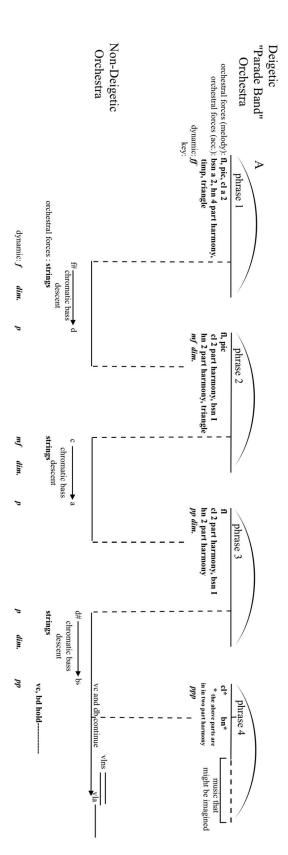
The 19th century was a golden age for French military bands; this was especially true of the earlier part of the century. There were marching bands and mounted band on horseback. There were also separate companies devoted only to providing fanfares.

Abbate, 336. "The opera is full of songs, dances, military fanfares, outdoor choruses, and parades."

Exit Music

At the end of the procession as the crowd enters the arena to watch the bullfights, Bizet creates the illusion that the "Parade Band," located in the orchestra pit but firmly associated with the crowd, is also moving off-stage into the arena. Once the "Parade Band" has been moved off-stage, Bizet replaces them with an actual small off-stage ensemble of brass instruments: two trumpets and three trombones. The exit music during which this transition occurs contrasts the diegetic "Parade Band," which plays a nearly complete rendition of the A theme from the procession, with an emergent non-diegetic orchestra that takes over to support Don José and Carmen in their final duet. Example 10 outlines the structure of this transition.

Example 10.



Procession Exit Music

Unlike the procession, where the strings were used in support of the winds, Bizet keeps the "Parade Band" wind and string timbres separate. The strings are united in forte octaves, to compete with the winds' sound.

The orchestration used for the "Parade Band" sound at the beginning of the Example 10 is a classic example of band orchestration. Bizet removes the instruments with the most carrying power from the ensemble as they move farther away. The fourth phrase fades into the distance, with only two strains played in harmony, first by the clarinets and then by the bassoons. At *ppp*, these instruments lose their distinctness; for example, at quiet dynamics the bassoon's color is similar to distant brass. Thus Bizet achieves a transition to the offstage brass ensemble. The illusion complete, the entire cast and the imaginary "Parade Band" leave the stage for the excitement of the arena, leaving Don José and Carmen alone on the stage to enact the final tragedy.

Interruptions of the Final Duet

While everyone else goes into watch the bullfight in the arena, Don José and Carmen stay behind with the non-diegetic orchestra. Don José is now an outlaw, dismissed from the regiment, unwanted by even the gypsies, his mother dead. Carmen has always been an outcast: she is a gypsy, kicked out of the cigarette factory. Though she now seems accepted by the larger society, this is only because she is Escamillio's paramour. Don José and Carmen's position in this scene, outside the walls of the arena, with everyone else celebrating inside, uses distance and a wall to embody their separation from mainstream Seville society.

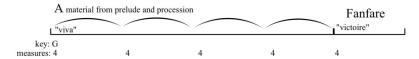
Bizet uses the offstage chorus and brass ensemble to respond to Carmen and Don José's argument at key dramatic moments. ⁴⁵ Carmen spies Don José once the crowd has exited the stage; he tries to convince her to leave with him, but she refuses. The first interruption is cued by Carmen's declaration of liberty and refusal to give into Don José's pleas. In next segment of their argument Don José is fixated on Escamillio, Carmen's new lover. He questions her about him, and she proclaims she loves him, cuing the second interruption. In the third part of their confrontation Don José's accuses Carmen of laughing at him with Escamillio and yet stubbornly insists that she follow him. She still refuses, baiting him to strike her, which signals the third interruption. Don José refuses to give up, so in their last confrontation Carmen throws away the ring he gave her. This proves to be the last straw. He curses her, and to the strains of the fourth interruption, her kills her.

The formal structure of the interruptions is a truncated version of the procession/prelude, consisting of only themes A and C, AA-C and the form helps to propel the final scene to its terrible conclusion. The reuse of thematic material gives it a self-consistency that counterbalances the exciting upward tonal movement from G-major to A-major. By reusing the A material for the off-stage ensemble Bizet also signals the inevitable return of Escamillio's "Toreador Song." The complete statement of the A theme and the fanfare in the first interruption set up a precedent, again a fanfare or vamp signals Escamillio's Toreador song, further heightening anticipation. The truncated second interruption creates an even greater need for fulfillment and closure. Example 11 shows the structure of the interruptions without the intervening onstage material.

For a well done harmonic analysis of the Final Duet and Chorus please see Andrew Pau's 2012 dissertation entitled "An Analytical Study of Bizet's Carmen: Melody, Text Setting, Harmony, and Form".

Example 11:

First Interruption:



Second Interruption:



Third Interruption:

(no A material)

Fanfare

victoire"

key: Eb7
measures: 4*

Fourth Interruption:

C "Toreador Song"

vamp

key: F#
measures: 1 4 4 4

The brass orchestration used for the off-stage ensemble also gives the illusion of a much larger ensemble. The first trumpet plays the melody for the first three phrases and then the second trumpet plays in harmony with the first on the final phrase. The three trombones provide rich harmonic support. The final trumpet fanfare is in harmony (mostly thirds). When heard from behind the scenes it gives the impression of a much larger ensemble. This is due to a few factors. Normally brass play the melodic lines in orchestral tutti passages. Also, from a distance, the instruments with the best carrying power are the brass. The material has also become so familiar as an orchestral *tutti*, that the sound of the bigger ensemble remains "ringing" in one's ears. All of these factors contribute to the illusion of

This mimics Bizet's earlier orchestration of this phrase in the Procession/ Prelude.

grandness in the off-stage ensemble, making it seem louder and more boisterous, and thus making the empty stage where Don José and Carmen remain seem emptier and quieter by contrast.⁴⁷

In general the interruptions add tension by delaying the on-stage action, creating silence, and adding textual irony. During each interruption, the stage becomes uncomfortably silent, creating tension. As Dahlhaus pointed out "there are no words where the tragedy of Carmen and Don José is really acted out."

Silence, like the distance, is a larger force at work in the opera. Metaphorical silences are feature of a number of Carmen's songs. In Act I, when asked by Zuniga to speak, Carmen refuses and sings, "Tra, la, la," essentially remaining silent on the subject of the fight in the factory. Later when Don José tells her not to speak to him she claims that she is only "singing to [herself]," form of being silent. In either case she is playing with what it means to speak and what it means to stay silent. In this case, silence is an act of defiance. Silence could also be a representation of the void between Don José and Carmen, as Dahlhaus seems to feel it. She will not accept Don José's feelings and, without that acceptance, he will never leave her alone. Don José never shows any interest in understanding Carmen's point-of-view, only in making her understand his, and thus there is no point in communication.

There is some ambiguity in the unspoken drama during these moments of silence. After all, what do we know about the relationship between Don José and Carmen? Nothing much. They are together for six months, but we rarely see them together; not once do they sing an

I was completely fooled by this when I attended my first performance of Carmen at the LA Opera. I thought there was a whole offstage mini orchestra! and was completely surprised and a tad embarassed to discover that it was only a small brass ensemble.

Dahlhaus, Carl. Realism in Nineteenth Century Music. Cambridge; New York: Cambridge University Press, 1985, pg 92.

Dibbern, 74 "je chante pour moi-même".

impassioned duet.⁵¹ What we see is the beginning of their relationship (Act I and Act II), the dissolution of their relationship (Act III), and the tragic result of Don José's inability to move beyond his obsession with Carmen. (Act IV).

The ambiguity of theses silences creates an atmosphere of tension, fear and dread as the audience waits for something to happen. The stage directions present during each are simple and powerful, but leave extra time for either stasis or movement. The tension is pregnant with meaning, but the particular nature of that meaning can, and will differ with each performance. The only guarantee is that the silence creates tension.

In the closing section of this dissertation I analyze the duet in a more detailed chronological fashion, drawing attention to the various ways in which off-stage music, distance and silence interact to contribute to the drama.

During the beginning of the duet Carmen occasionally speaks of herself in the third person, creating metaphorical distance between her and Don José. The two begin the final duet each in the first person, but Don José prompts Carmen's move to the third person by declaring, "Yes, we are both going to start another life [together], Far from here under other skies." This statement reveals the depth of Don José's desperation and delusion – he believes that Carmen will leave with him and go to America. In response to his irrational behavior she distances herself from him by using the third person pronoun. This also engages her stage persona, giving her a larger presence, like an animal puffing itself up to make itself look bigger and scarier. She is unable, however to completely make the transition, and continues to vacillate between first and third person, constantly exposing her self to both the audience and Don José. Here the grammatical choice of pronouns in the

Dahlhaus, 87

Dibbern 200 "Oui, nous allons tous doux Commencer une autre vie, Loin d'ici sous d'autres cieux"

⁵³ Dibbern 200

libretto illustrates the pulling of distances between Don José and Carmen. Even though Carmen closes this section of the duet with a definitive declaration in the third person, this is also the last statement she makes in the third person.

Libre elle est née et libre elle moura!

Free she was born and free she will die!

The chorus responds to Carmen's "moura" with:

Viva! La course est belle. Hurray! The bullfight is wonderful.

"Viva" in this context would be best understood as a general exclamation, but it carries with it connotations of life. In a long interruption, the chorus describes the events of the bullfight –itself a scene of violence and death. The chorus repeatedly encourages "Voyez," "Look;" but the audience cannot see the bullfight, only the empty silent stage with Don José and Carmen. The offstage chorus leaves off with a resplendent fanfare and "Victoire!" The three important words "Viva," "Voyez," and "Victoire" all begin with an alliterative and fricative "v." These words cut thought the curtains and be heard over the distance, even if all the other words are lost. The meaning of each of these three words, "life," "look," "victory" do a good job of quickly summing up not only the spectacle of Seville, but also of Carmen herself in Act I: full of life, calling attention to her body, victorious over those who would bind her. As the audience recalls that first impression, we are invited then to contrast it with this now bleaker Carmen – alone and trapped, and fighting, but still losing – she has no tricks, nor any songs to sing, so in spite of her brilliant dress⁵⁴, she seems diminished.

The stage directions indicate that Carmen is to enter "brilliantly dressed" along with Escamillio when he arrives as part of the procession: "Escamillio paraît ayant auprès de lui Carmen radieuse et dans un costume éclatant". This reflects her new higher social status as Escamillio's paramour.

This first off-stage interruption is quite long, and some productions take quite a bit of liberty in adding extra movement on-stage during it. But stillness is implied in the stage directions.

En entendant les cris de la foule qui acclame Escamillio dans le cirque, Carmen fait un geste de joie. Don José ne la perd pas de vue. Sur la fin du choeur, Carmen veut entrer dans le cirque; mais Don José se place devant elle et lui barre le passage.

Hearing the shouts of the crowd cheering for Escamillio in the arena, Carmen makes a joyful gesture. Don José does not take his eyes away from her. At the end of the chorus, Carmen tries to enter the arena; but Don José stands in front of here and blocks her way.⁵⁵

The most important aspects of the directions have to do with Carmen's body, which she uses to make a "gesture," and Don José's eyes, which he uses to observe her body. During this the chorus will be calling "Voyez!" The off-stage music frames the stage, so that audience can view the eerie silent tableau.

The timing is also very specific. During this interruption Carmen is not to attempt her entrance into the arena until after the chorus has finished. Her motion toward the arena resumes the stage-action, as Don José asks, "Where are you going?" ⁵⁶

In the second section of the duet Carmen's focus is to enter the arena, returning in some sense to Escamillio. Don José, delusional as he is, will not give up his notion that Carmen is supposed to follow him. Carmen replies to Don José tersely, at first, then with anger, and finally, in unabashed frustration declares her love for Escamillio. This is emphasized two dramatic key shifts first from C to A_b, and then a deceptive resolution from a V in the key of

D bresolving to A as she finishes her phrase⁵⁷:

-

⁵⁵ Dibbern, 203.

Dibbern, 204 "Où vas-tu"

Je répéterai que je l'aime! I will repeat that I love him!

This cues the response from the offstage chorus:

*Viva! La course est belle.*Hurray! The bullfight is wonderful

Here there is less immediate textual conflict between "Viva" and "l'aime" than "Viva" than "moura" from the previous interruption. The lessening of the textual conflict softens

Carmen's character as we feel her become exhausted at Don José's stubborn advances.

During the second interruption the only stage direction is that Carmen tries again to enter the arena and Don José stops her. The on-stage silence provides an unsettling back-drop for a skirmish between Carmen and Don José, while the off-stage music is ironic. ⁵⁸ Bizet disturbs the on-stage silence and cuts off the off-stage ensemble with the entrance of the fate motif in C, imitating the sort of violent impatience we have just witnessed Don José enact on Carmen. The return of the fate motif completes the recap of the prelude. The off-stage orchestra fades to silence before the final phrase of the A material can be heard. This leaves the second interruption unfinished, adding to the sense of unease, and musically contributing to the sense of forward motion. In a live performance the entrance of the pit orchestra is particularly effective because it breaks into the silence created by the offstage ensemble. It is an extremely powerful moment because the combination of silence and irony, which precede the arrival of the fate motif is full of suspense and unease. Then with the sudden introduction of the fate motif that suspense turns to real fear and dread.

The return of the fate motif signals the moment when Don José comes to understand that Carmen no longer loves him, if she ever did.

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⁵⁷ Pau. 213.

As the fate motif moves to E minor Don José persists in his advances. His tactic turns threatening as he reveals deeper fears: that Carmen and Escamillio will laugh at him. He calls Carmen a wretch and claims that she will follow him, but Carmen refuses to concede.

The third interruption responds to Carmen telling Don José:

Eh bien! Frappe-moi donc, ou lasse-moi passer
Well! Strike me then, or let me pass⁵⁹

The chorus responds:

Victoire!

Victory!

The response from the off-stage ensemble is the fanfare that we had expected at the end of the previous A material, though presented in a distant key. The single trumpet line that enters over the last syllable of Carmen's "passer" allows for a very brief moment of stillness before the chorus comes in with the "Victoire." This brief stillness also allows the gravitas of Carmen's line to set in: it is the last time she foreshadows her death. The call of "Victory" is ironic, but also confounding. Whose victory?

As the call of victory fades Don José asks Carmen to follow him one last time, calling her a demon. Her decisive final response is to take the ring he gave her and throw it away, declaring, "No! This ring, formerly, you gave it to me. Here!" The final word has several

Most productions have Don José physically assault Carmen in some manner to keep her from entering the arena.

Dibbern 205. This passage is often translated in super/sub titles as "kill me, or let me pass".

McClary reads this literally as Escamillo's victory in the bullring. But various scholars have read the end of Carmen as Don José "victory" in some sense because by killing Carmen, Don José possesses her. Perhaps this is true in the moment of violence, but because Don José is basically mentally unstable (the stage directions indicate this with "hysterical") I don't think it can really be understood as a victory.

Dibbern, 206. "Non! Cette bague, autrefois, tu me l'avais donnée. Tiens!"

meanings that revolve around possession. Nelly Furman reads "Carmen's final word [as] at one and the same time an assertion of freedom and as recognition of enslavement."

In response to Carmen casting off his ring Don José screams:

Eh bien damnée!

Well, be damned!

The fourth and final interruption is quite different from the previous three. The most important aspect is that it finally moves to the "Toreador Song," but it is also the only interruption that comes as a response to Don José and the only interruption that is coordinated with music from the non-diegetic orchestra in the pit. Dramatically it is also a moment of great irony. All of these changes serve to support Don José's psychological breaking point.

A fanfare-vamp plays for a single bar under the final syllable of "damnée" before the chorus joins in for the final rousing chorus of the "Toreador Song":

Toréador, en garde!

Toreador, on guard!

For the vamp and for the first bar of the "Toreador Song" the non-diegetic orchestra remains silent. The vamp prepares the audience for the arrival of the "Toreador Song," and also gives a brief moment of stillness. A song celebrating her new lover answers Don José's final curse of Carmen. It stands in for Escamillio and completes the love triangle.

The stage directions read:

Il s'élance vers Carmen. Carmen veut fuir; mais Don José la rejoint a l'entrée du cirque – il la frappe; elle tombe et meurt. Don José, éperdu, s'agenouille aupès.

He rushes toward Carmen. Carmen tries to flee; but Don José blocks her at the entrance to the arena – he stabs her; she collapses and dies. Don José, hysterical, kneels next to her.⁶³

Furman, Nelly. "Languages of Love in *Carmen*" in Reading Opera. Princeton, N.J.: Princeton University Press, 1988, pg 180.

Like in act two, when Zuniga's return to the tavern renewed Don José's interest in Carmen, here it is Escamillio's presence, which becomes manifest in the "Toreador Song" that provides Don José with his impetus to violence. Many productions choose to have Carmen murdered during the on-stage silence created during the vamp and the first bar of the "Toreador Song. In this case, the non-diegetic orchestra enters after and functions as commentary as she sinks to the floor, Don José watching, as she dies – a silent tableau, framed by the off-stage music, but this time with the welling up of the music from the orchestra pit. Bizet combines the off-stage chorus and ensemble with the pit orchestra, pitting the celebration of "Toreador Song" against the melancholy melody loosely related to the material of the fate motif. Don José becomes a tragic figure of loneliness surrounded in silence and compressed between the two musics, one from off-stage and one from the pit orchestra.

After this moving countermelody calms, and dissipates we hear the off-stage ensemble now seeming to address only Don José. Unfettered by music from the pit orchestra, they proclaim one last time "Toreador, love awaits you!" before the pit orchestra comes crashing in again with the fate motif in the final key of the opera, F#. Having silence in the pit orchestra, and on the stage, before this entrance of the fate motif makes it more powerful, meaningful and ironic. Generally conductors even delay the entrance of the pit orchestra so that it does not completely obscure the final note of the off-stage chorus.

Stage directions indicate that during this moment of silence when the last phrase of the chorus is being sung that the crowd is to return to the arena, but many productions leave the chorus off-stage. It is both inconvenient to move a large chorus quickly, but also detrimental

Dibbern, 205

to the dramatic impact because it undoes the sphere of utter isolation that now surrounds

Don José. Although off-stage music plays no part in the remaining twelve remaining bars of
the opera, the ending chords and timbre are reminders of off-stage world – the glory and
vivaciousness of Seville. The closing chord is F# major, a chord most suited to the
"Toreador Song". The chord is voiced with high winds and horns to give a touch of the
bright "Parade-Band" timbre used earlier in the act for the procession. The horns cut though
the sound on the lower final F#, linking it to the brass in the off-stage brass ensemble.

Bizet's masterful handling of the effects of distance and silence from the entrance of the procession until the end of the opera yields powerful dramatic effects. During the procession he creates the illusion of a band present with the crowd on stage. This illusion includes the effect of the ensemble arriving and then departing into the unseen arena, where he replaces them with a real off-stage ensemble of brass instruments. This ensemble along with the now off-stage chorus provides a counterpoint to Don José and Carmen's final duet.

The atmosphere of loneliness and bleakness that surrounds the final duet is partly a function of its placement right after the boisterousness of the grand procession. This atmosphere is only strengthened by the interruptions of the chorus and ensemble during the climax of the duet culminating in the Carmen's murder. These interruptions create on-stage silences that eerily frame the actions taking place on the stage and also provide piquant moments for the re-entrances of the pit orchestra, which bring the opera to its tragic conclusion.

As modern audiences we are accustomed to this technique from watching films.

What Bizet is doing during the final duet is repeatedly redirecting our attention from the diegetic music filtering in from the arena to the background, non-diegetic music from the

Dibbern, 93 "Toreador, l'amour t'attend!" Some productions chose this moment of on-stage silence

orchestra and back again, to let us hear the thoughts and feelings of the dramatic characters Carmen and Don José ironically interspersed with the grand jubilation of the bullfight. And what is amazing hearing and seeing this taking place in a theater, is the three dimensional experience of the sound/spectacle, which is nearly impossible to capture on a recording. The off-stage ensemble is behind the stage, Carmen and Don José are on the stage, and the pit orchestra is in front of the stage, so that there three layers of space. During the duet, when the majority sound is passed repeatedly between the off-stage ensemble and the pit orchestra, it creates a sense of space and distance between the two groups of musicians, and the space on the stage surrounding the Carmen and Don José is left mostly empty in between. This creates a feeling of vast emptiness and utter despair around the main characters, which is ultimately what I believe Bizet, wanted to portray at the end of Carmen, a tale which concludes in an utter failure of communication and understanding, the characters as isolated from each other as they are from the audience and from the bullfight; and it is against this feeling of despair that the harsh brightness and the supreme irony of the last major chord can be felt.

Part II

A Portfolio of Compositions

Orchestra:
A Game
Chamber Ensemble:
Strange Woods for flute choir
Quilt I for flute, clarinet, violin and cello
East of the Sun/ West of the Moon for flute viola and piano
Vocal:
Memories of Silver for mezzo soprano and piano
Sea Fever, a song cycle for baritone and piano
Choral:
The Lamb for two-part mixed chorus and organ
Summer Fruits, three poems by Jane Hirshfield for chorus

Kubla Khan for SATBB chorus

A GAME for orchestra

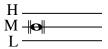
Katherine Saxon 2008

A GAME how to play

The staff shows three registers, high, medium and low. The performer is to chose a pitch in the register indicated as it relates specifically to their instrument and capabilities.

H _____ M ____ L ____

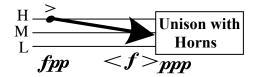
A double whole note indicates a held pitch. When multiple performers are indicated to hold in unison, they need to choose a note before the performance in consultation with eachother.



A solid note head with an arrow indicates a "moving pitch." The performer should choose a pitch in the designated range which is unique from other players in their vicinity and then move as steadily and smoothly as possible towards a unison with the instrument indicated. Gradual variations in speed are encouraged. A unique speed is also encouraged.

Woodwinds and brass should use chromatic scales or pitch bend. Strings should use glissandos. Double stops are permited.

If the performer over-shoots the unison they should turn around and approach it from the other direction. When/if the held pitch is out of range, the performer should get as close as possible and then drop out as gracefully as possible.



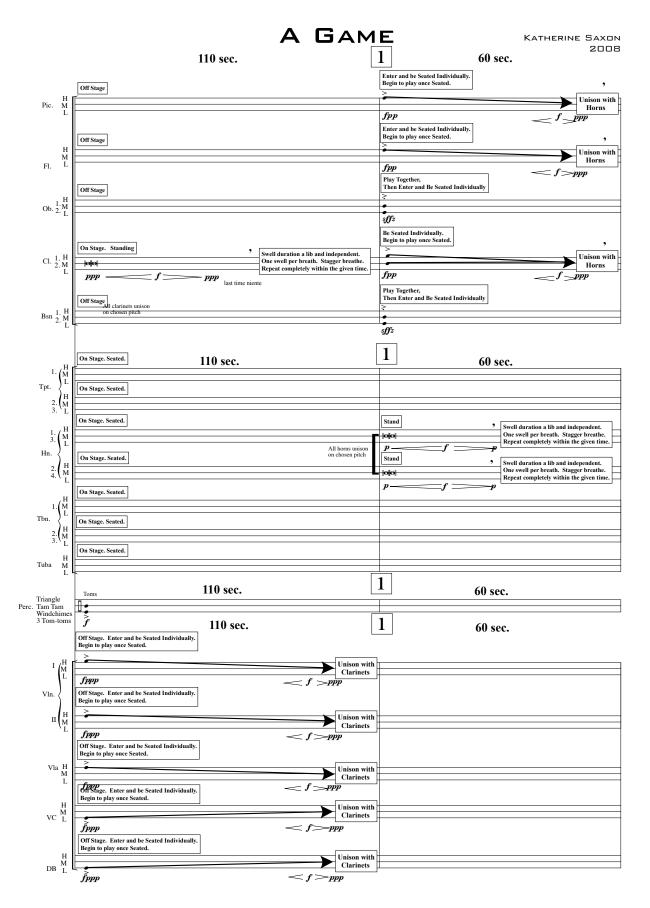
When the performer is within a whole step of the unison he/she should swell and then diminuendo as he/she reaches the unison. This figure is marked in the score where appropriate. The exception is in segments 2 - 5 where the performers should remain quiet till the end of the segment if possible (Some instruments will find it necissary to crescendo as they rise or fall in register. This is OK and to be expected).

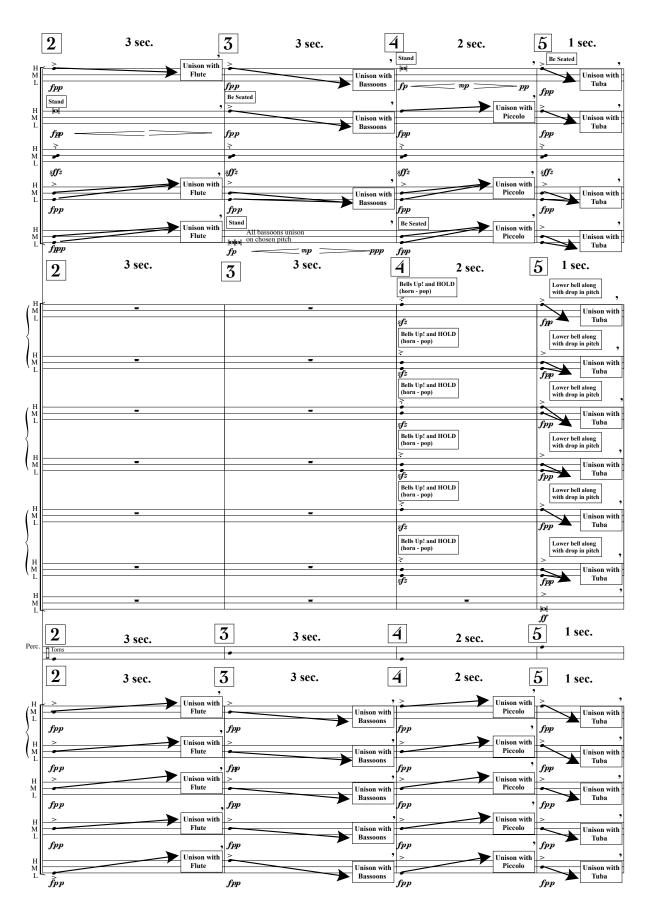
Breathes, re-entrances, string crossings, and bowchanges should be done as quietly as possible.

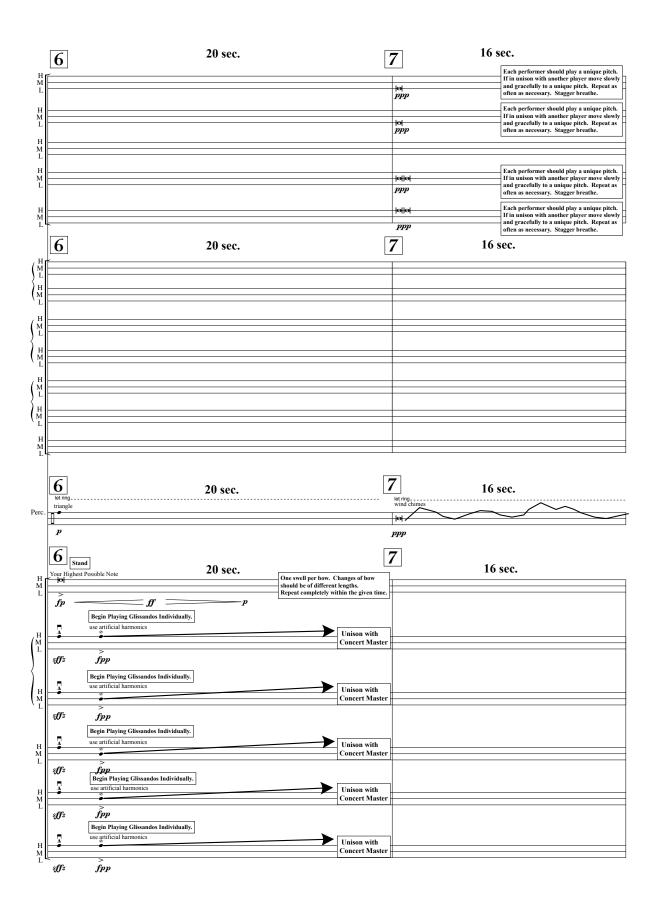
All times are approximate. Wait for the conductor's numbered cues.

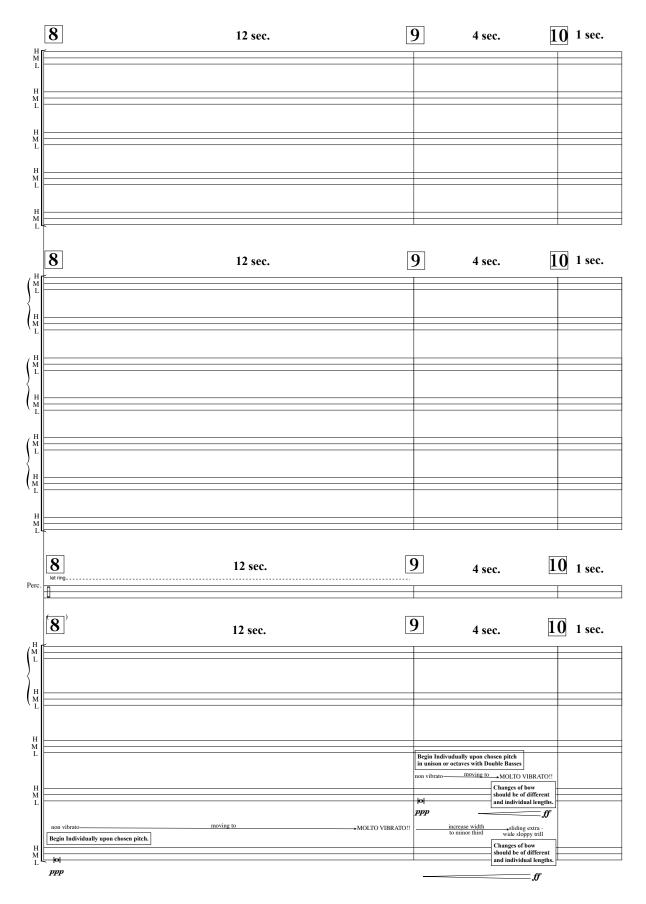
*The clarinets and French horns will need to consult with each other beforehand to decide what two pitches they will be playing within their sections, and between their two sections for the opening segment and segment 1. The clarinets should be playing a pitch at least a minor 3rd higher than the horns. Bassoons will need to chose a pitch for segment 3. Double basses and cellos will need to decide on a pitch to use in segments 8 and 9.

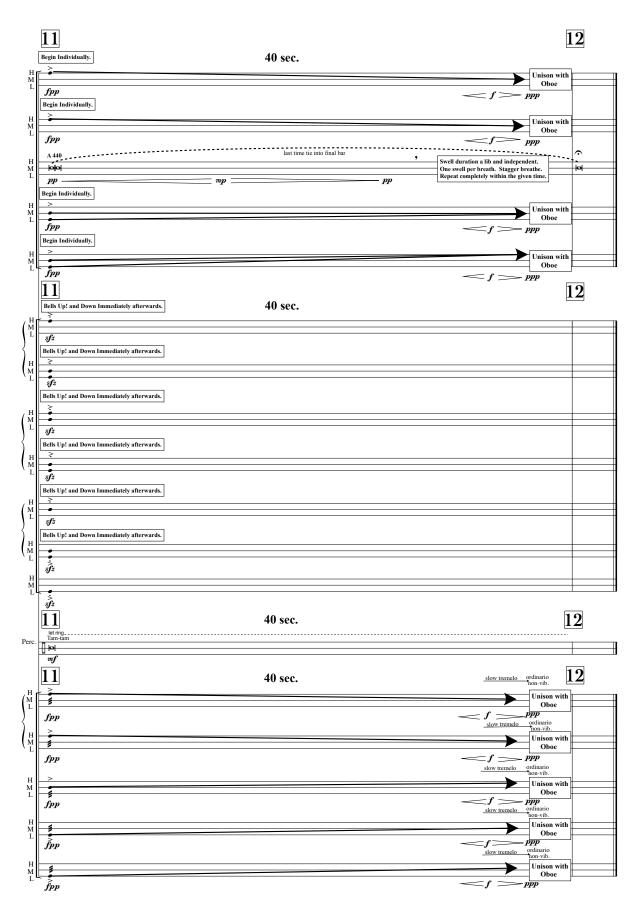
HAVE FUN











STRANGE WOODS a madrigal for flute choir

Katherine Saxon 2010

STRANGE WOODS

a madrigal for flute choir adapted from a poem by Edgar Allen Poe

Katherine Saxon 2010









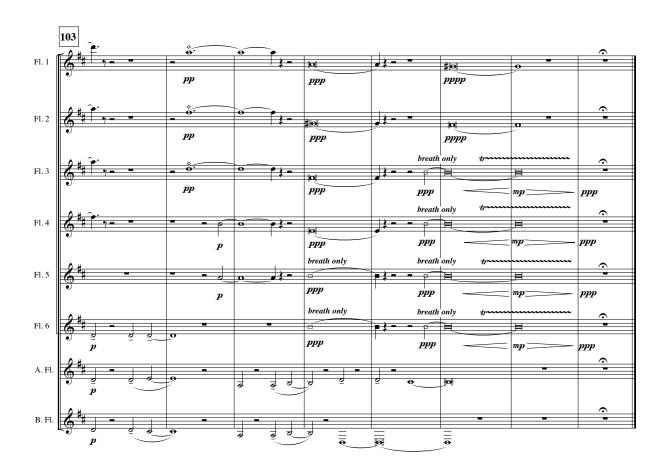












Quilt I

for flute, clarinet, violin and cello

Recto: Chanson de toile

Verso: Chanson d'étoile

Katherine Saxon 2010

Performance notes:

In the violin and cello parts, a + indicates that the note is to be plucked with the left hand.

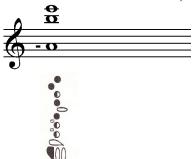
Flute Multiphonics:

The following flute multiphonic fingerings are from *Tone Development Through Extended Techniques* by Robert Dick. Each is listed with its first occurrence in the music. In measures 38-41 use the multiphonic fingering and glide between the pitches. Pitch bend and other artifacts are completely appropriate. A - indicates that a note will be naturally slightly flat, and + indicates a note will be naturally slightly sharp.

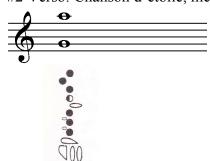
#1 Recto: Chanson de toile, measure 95.



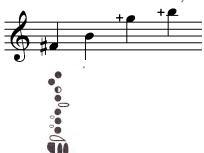
#3 Verso: Chanson d'étoile, measure 17.



#2 Verso: Chanson d'étoile, measure 5.



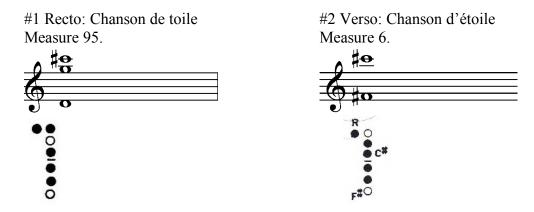
#4 Verso: Chanson d'étoile, measure 38.



During "Verso: Chanson d'étoile," from measures 38 to 43, the slurred notes are all to be played with the multiphonic fingering #4. The sound may be breathy, but this is intentional. When the performer slurs from one note to the next two simultaneous pitches may sound. These brief moments of simultaneity are to be encouraged.

Clarinet Multiphonics:

The following clarinet multiphonic fingerings are from *New Directions for Clarinet* by Phillip Rehfelt.



If volume proves difficult, the following multiphonic fingering can be substituted for multiphonic #1 at measure 95 of "Recto: chanson de toile," but "Verso: chanson d'etoile" should use only the previous two fingerings.



















^{*} Fingerings for multiphonics are listed in the perfomance notes at the beginning of the score.









East of the Sun/ West of the Moon

a trio for flute, viola, and piano

Katherine Saxon 2012

East of the Sun/West of the Moon

commissioned by Margaret Halbig, Calisa Hildebrand and Shannon McCue



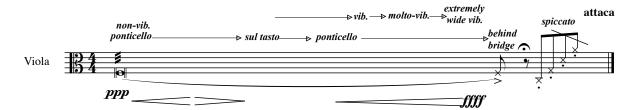
Teo.

Teo.



^{*} Clear and repedal slightly to bring out bass line

II. here is a desert



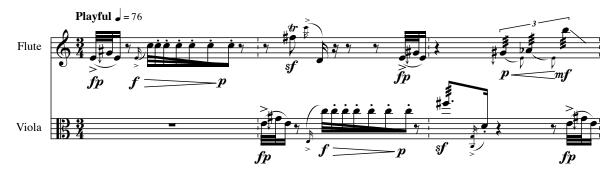
- * The vibrato can be as much as a whole step. The most important thing is the "wildness" and chaos of the sound.
- ** The bow should move from and extreme ponticello position to the other side of the bridge.
- *** These notes should be played on the short lengths of string between the bridge and the tail peice.

III. the wonderful moo-moo bird

Accidentals and microtones:

lowers a sharped tone by approximately 1/4 of a tone.

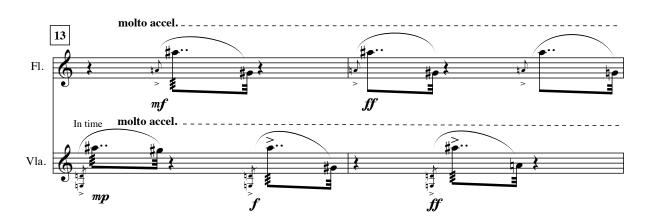
Rhythm and meter: Most of this movement is to be played uncoordinated between the two players. This is indicated with dotted barlines. Measures 26 - 29 are to be coordinated and this is indicated with solid bar-lines.

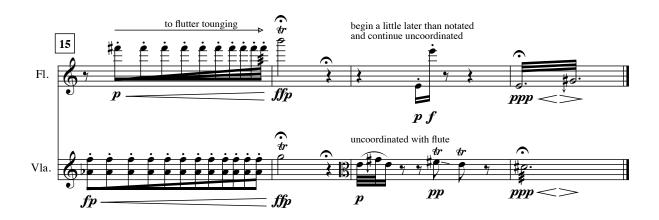








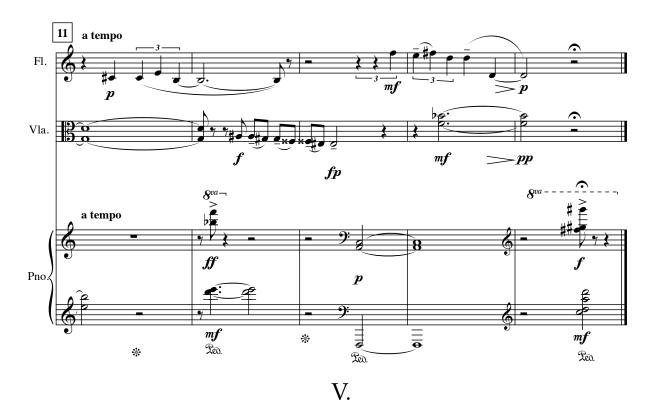




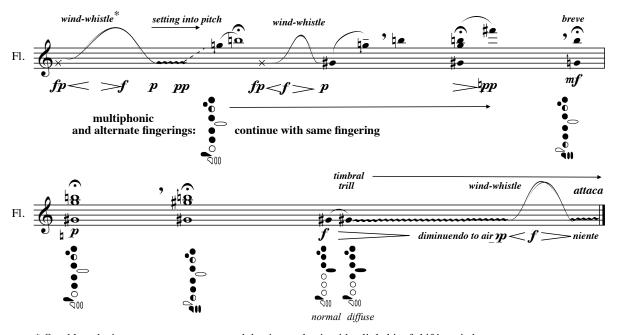
IV. The City of Many Towers





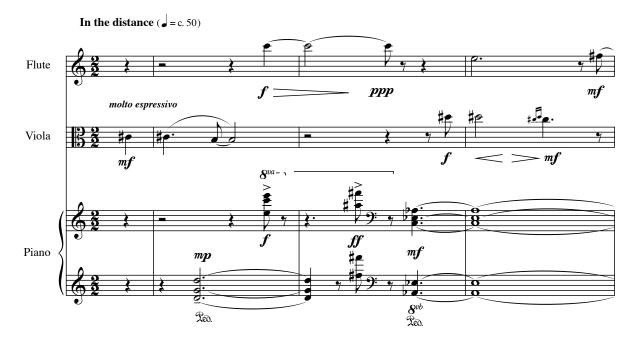


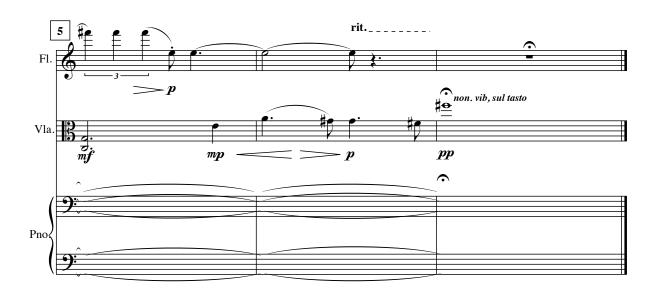
here is where the northwind blows



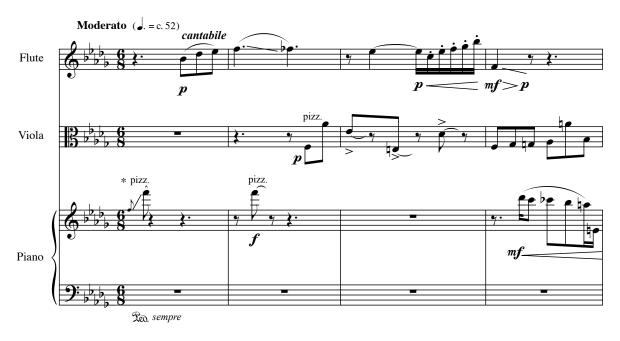
^{*} Overblow the instrument to create a sound that is mostly air with a little bit of shifting pitch.

VI. The City of Many Towers (reprise)





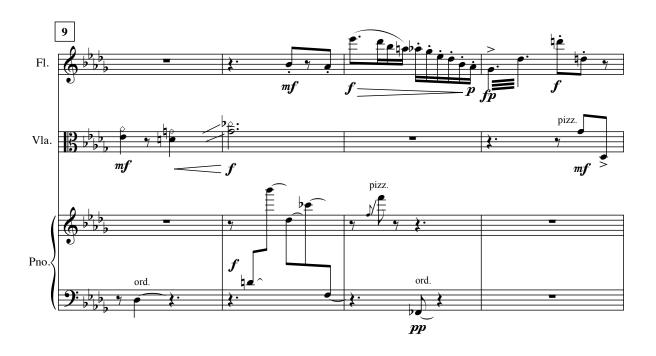
VII. here there are peculiar fishes

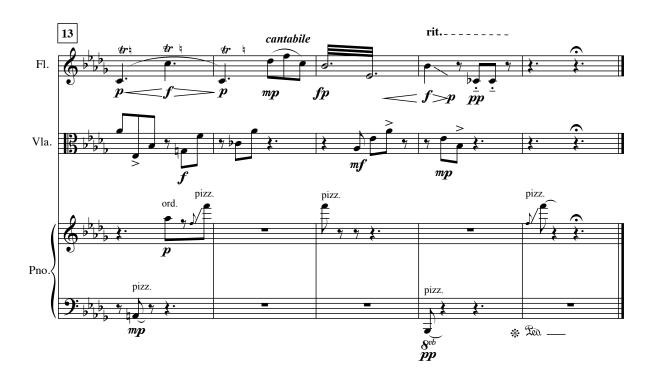




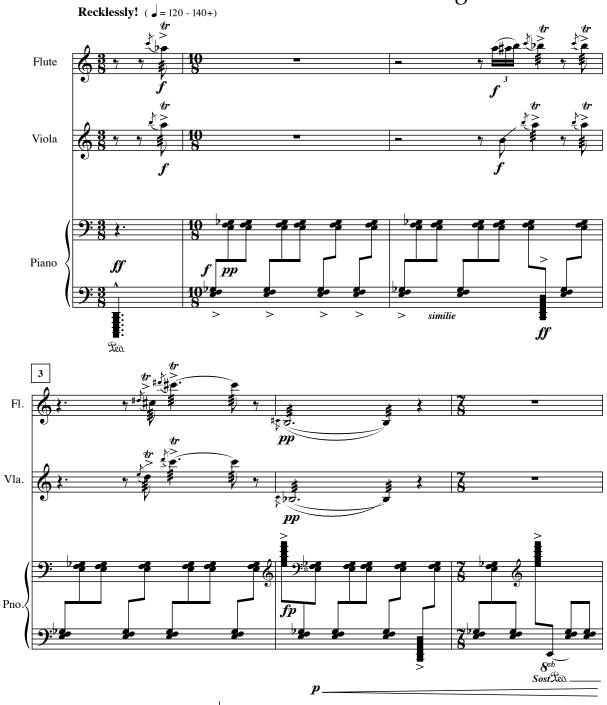
st For these pizz. glissando gestures, the pitches are approximate.

^{**} Use portamento when indicated by lines





VIII. Don't Walk Too Near the Edge



* Piano Clusters: If preceeded by a the cluster should be played on the white keys

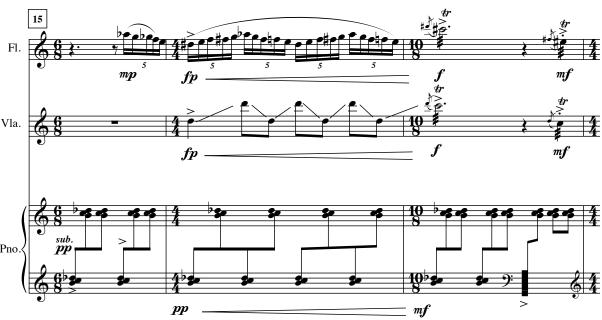
If preceded by a **b** then the cluster should be played on the black keys

If the cluster is not designated for either black or white keys it should be vaugely chromatic in nature. It is not necessary that all the notes be sounded.

Clusters should be played with forearms









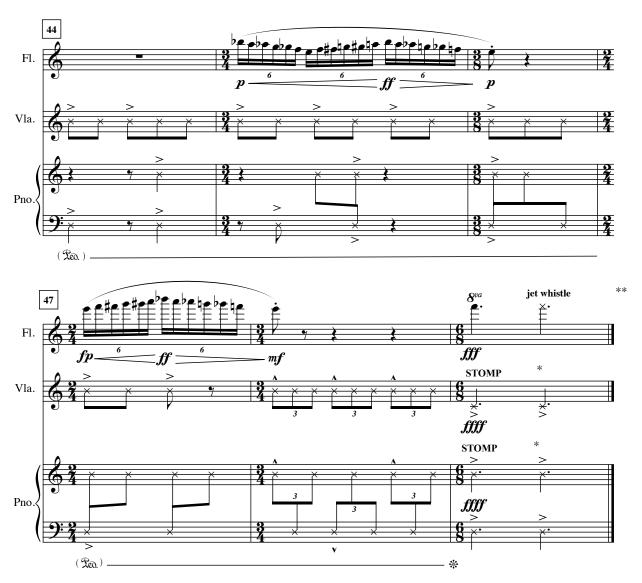








- * Play highest note availible ** Play lowest note availible *** Play nearest availible cluster of notes



* The violist and pianist loudly stomp on floor.
** The flautist should choose their highest and loudest jet whistle.

IX. An Empty Cage



TACET

(approximately 4.33 seconds)

X. West of the Moon

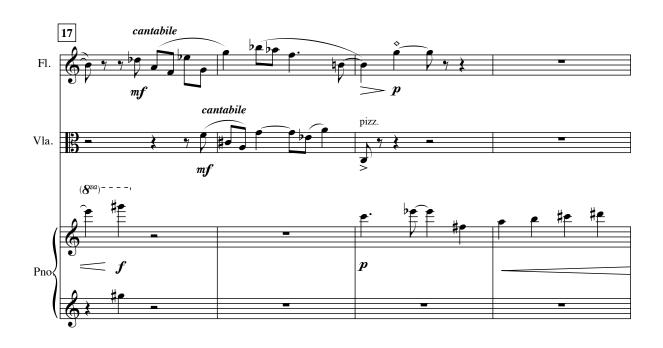


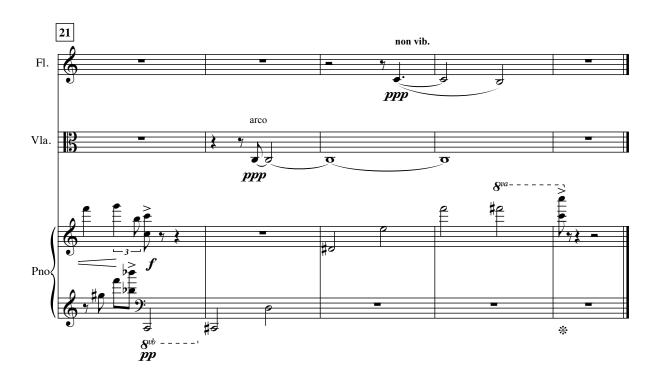
XI. the path that leads to No-Place







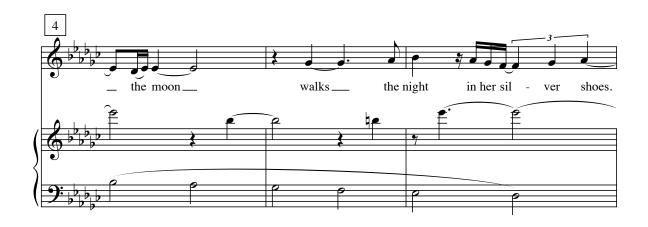


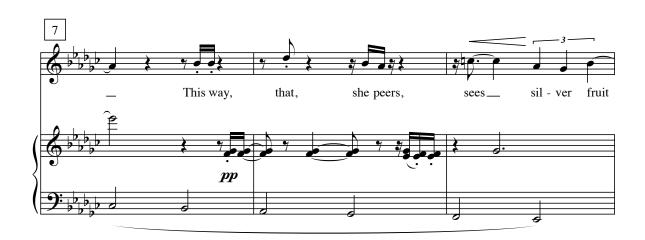


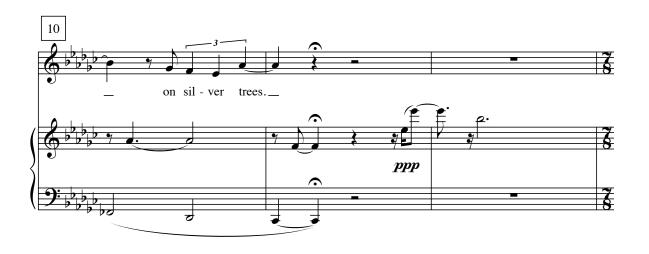
Memories of Silver mezzo soprano and piano

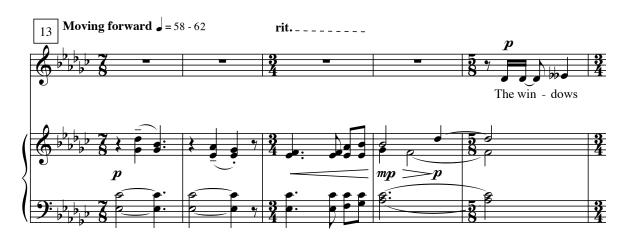
Memories of Silver

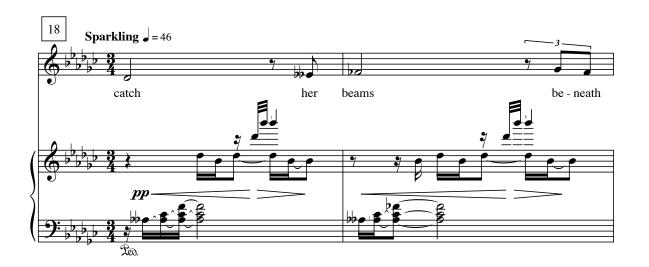
















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NG

Sea Fever

four poems by John Masefield

for baritone and piano

I. An Old Song Resung II. Chirstmas Eve at Sea III. Sea Fever IV. Mother Carey

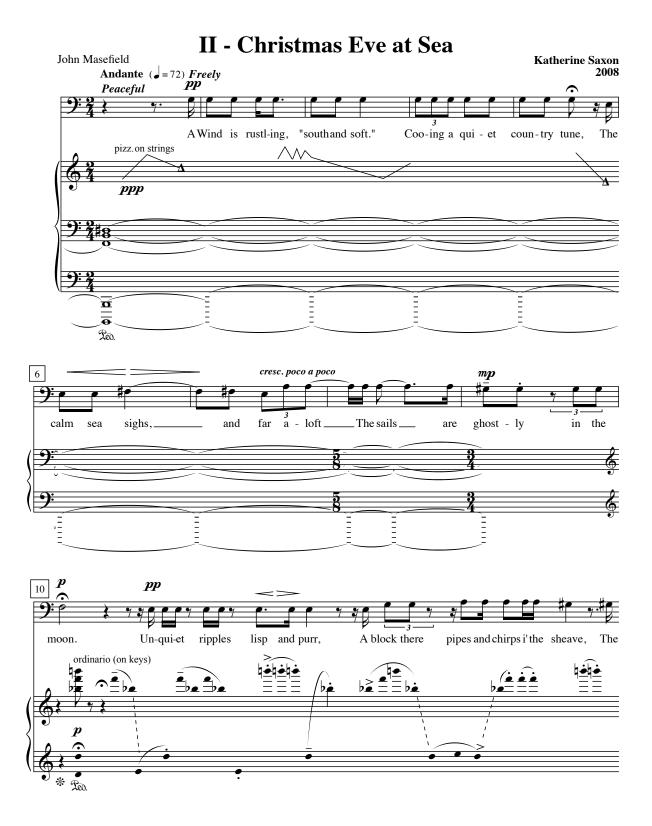
I - An Old Song Resung



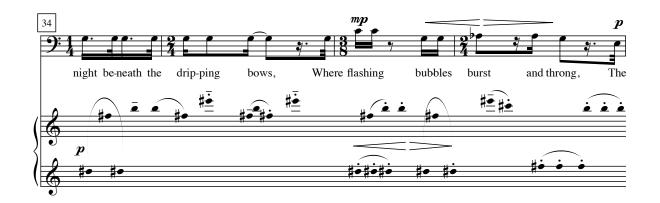


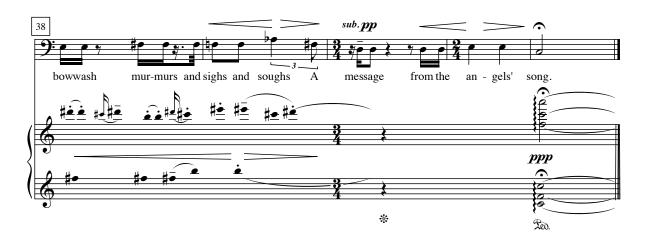












III - Sea Fever















^{*} If the timing of the passage of the construction of the piano prevents the playing of this on the strings, the pianist is welcome to perfom it on the white keys of the piano keyboard instead.





The Lamb two-part mixed chorus and organ

The Lamb

Dedicated to Katherine and Gene Clark and Shelly, Monty and Maggie Cox









SUMMER FRUITS Three Poems by Jane Hirshfield

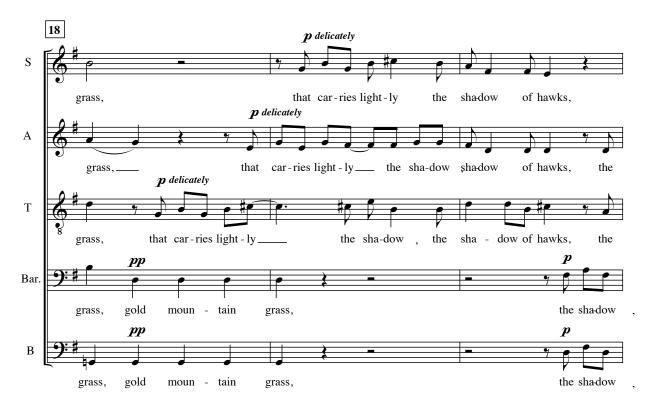
You Put Apples to Your Lips Speed and Perfection Music Like Water

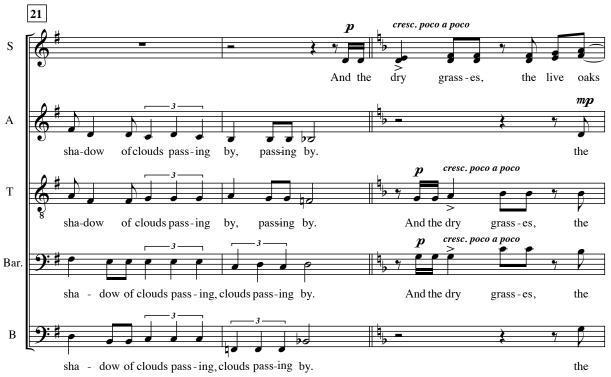
YOU PUT APPLES TO YOUR LIPS



^{*&}quot;For A Wedding On Mount Tamalpais", from *The October Palace*, copyright ©1994 by Jane Hirshfield. Used by permission.



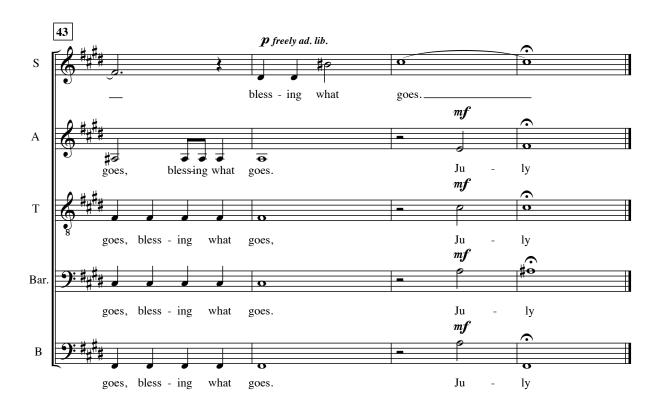






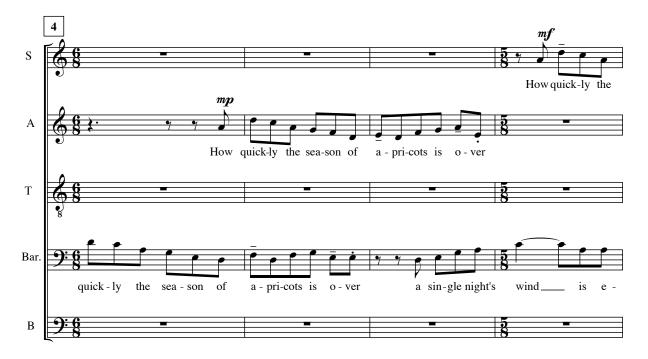






SPEED AND PERFECTION





^{* &}quot;Speed and Perfection" from Given Sugar, Given Salt, copyright © 2001 by Jane Hirshfield. Used by permission.



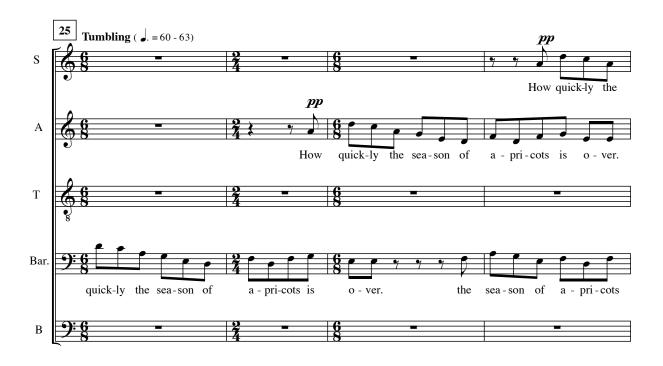
139

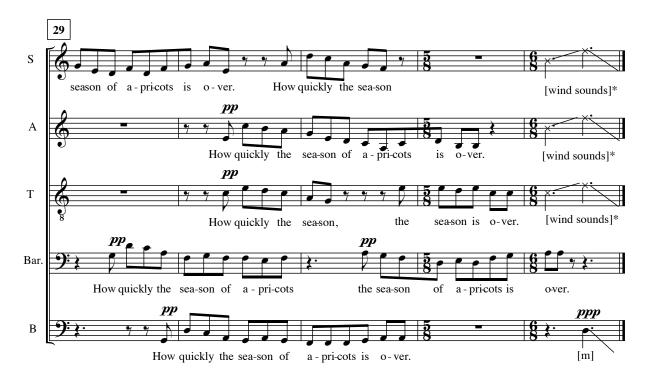
kneel on the ground,

Pensively ad lib.



*To create wind sounds begin with a soft [h] and then blow air through [u] shaped lips. Vary the speed of the air to create higher and lower pitches.





^{*}To create wind sounds begin with a soft [h] and then blow air through [u] shaped lips. Vary the speed of the air to create higher and lower pitches.

MUSIC LIKE WATER



^{* &}quot;The Music Like Water" from *Of Gravity and Angels*, copyright © 1988 by Jane Hirshfield. Used by permission.







* Close to a hum. Rearticulate repeated notes and phrase groupings.



^{*} The closing [s] should be delicate and a tiny bit elongated







* Close to [n].
** This phrase (mm. 34 - 37) may be sung by either a soloist or the entire section



* Close gently to an American [r]











 \ast The two soprano lines (mm. 55 - 57) may sung by a soloist from each section, or the entire section.



^{*} The lower note may be treated as either an ossia, or as a divisi.







* The closing [s] should be delicate and a little bit elongated



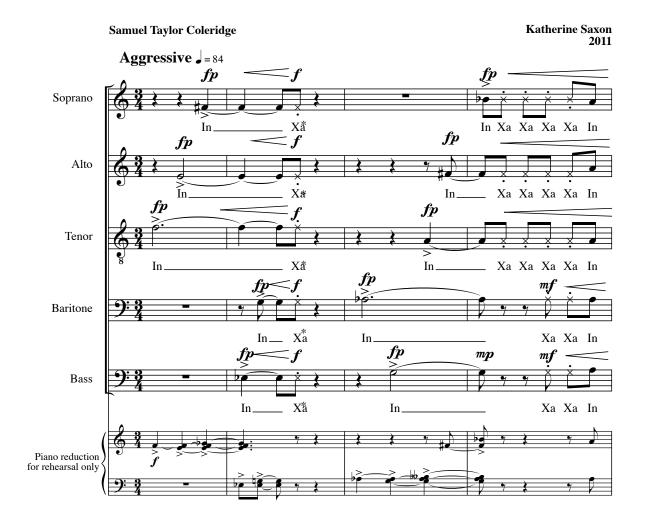


KUBLA KHAN

for mixed chorus SATBB

Katherine Saxon 2011

KUBLA KHAN



^{* &}quot;Xa" is to be pronounced "ZA" as the first syllable of the word "Xanadu."































